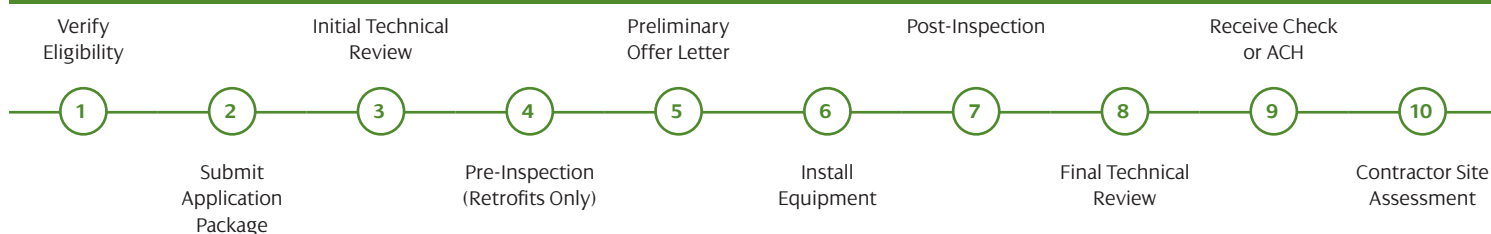


NYS Clean Heat Program Custom Application

Get incentives for installing energy-efficient electric heat pump systems for space- and water-heating applications.



How to Apply



1. Verify Project and Equipment Eligibility

All proposed equipment must meet or exceed Program requirements described in the NYS Clean Heat Program Manual.

2. Submit Application Package

Please email your application package with the following documents to nyscleanheat@icf.com:

- This completed Program Application Form
- W-9 of the incentive recipient
- Customer proposal/statement of work
- Cost estimate for proposed work
- Energy savings analysis
- Mechanical drawing
- HVAC schedule and load calculation
- Heating and cooling load reports per Program requirements
- Documentation and estimate for potential NYSEDA incentives

3. Initial Technical Review

NYSEG and RG&E will review the application's technical documentation to determine preliminary savings and incentives for the project.

4. Pre-Inspection

NYSEG and RG&E will pre-inspect the existing condition of your site. To be eligible for incentives, work may not begin until this pre-inspection has been completed and a pre-approval has been issued. Pre-inspections are not required for new construction or gut rehab/alteration projects.

5. Preliminary Incentive Offer Letter Sent

After the pre-inspection has been completed, and if you are eligible, NYSEG and RG&E will issue an Offer Letter. You must return the signed Offer Letter to NYSEG and RG&E within 30 days.

6. Install Equipment or Perform Project Work

Install the project and submit the following completion paperwork as soon as your project is installed and operational:

- Final project invoices and receipts
- As-built mechanical drawings and HVAC schedules, if applicable

7. Post-Inspection

NYSEG and RG&E will inspect the new condition of your site to confirm that all work was installed in accordance with the scope of work provided with the initial project application.

8. Final Technical Review

NYSEG and RG&E will review the completion paperwork and findings from the post-inspection, revising the energy savings calculations, as necessary, to reflect as-built conditions and as-installed costs, and determine the final project savings and incentive.

9. Receive Check or ACH

Once your energy savings and incentives are finalized by the Program team, an incentive check will be mailed to you or your Participating Contractor. Only designated Participating Contractors in good standing may receive incentive payments.

10. Contractor Site Assessment

As part of the NYS Clean Heat Program, projects may be selected for additional QA/QC activities as described in the NYS Clean Heat implementation plan.

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Program Eligibility

To be eligible to participate in the NYSEG and RG&E NYS Clean Heat Program, all of the following statements must apply:

1. The project must be eligible for NYS Clean Heat Custom Space Heating or Water Heating Applications (Category 4 or 6), as per the Program Manual, <https://cleanheat.ny.gov/assets/pdf/NYS-Clean-Heat-Program-Manual.pdf>.
2. The site must be occupied year-round or, in the case of planned installations at new construction sites, site owners must plan to have the site occupied year-round.
3. The customer listed on the application is a directly metered customer.

If you have any questions regarding your eligibility for this Program, email nyscleanheat@icf.com.

Account Holder Information			
Account Name: <i>(as shown on your NYSEG or RG&E bill)</i>		Electric Account Number:	
Contact Name:	Title:	Email:	
Mailing Address:	City:	State:	ZIP:
Office Phone:	Mobile Phone:	Federal Tax ID: <i>(provide if customer is receiving the incentive)</i>	
Potential NYSEDA Incentives:			
<input type="checkbox"/> If the incentive check should be mailed to the account holder at a different address than shown above, please list address below.			
Contact Name:			
Mailing Address:	City:	State:	ZIP:

Site Information			
Service Address:	City:	State:	ZIP:

Participating Contractor Information			
Company Name:			
Contact Name:	Title:	Email:	
Office Phone:	Mobile Phone:	Federal Tax ID:	

Participating Contractor Authorization			
<input type="checkbox"/> The account holder hereby authorizes the incentive check to be paid directly to the Participating Contractor referenced in the payee section below. If this box is checked, the account holder must sign here and the signature must match the signature at the end of this application. The entity being paid must provide their W-9. The NYSEG or RG&E account holder must sign this section of the application.			
PC Recipient Name:	Title (please print):	PC Company Name:	PC Payee Address:
Account Holder (please print):	Title (please print):	Account Holder Signature:	Date:

Proposed Project Information

Building Type (*check one*) Existing Building New Construction Gut Rehab (alteration per NYECC)

Proposed Technology (*check all that apply*)

- | | |
|--|---|
| <input type="checkbox"/> Air-Source Heat Pump (ASHP) | <input type="checkbox"/> Variable Refrigerant Flow (VRF) |
| <input type="checkbox"/> Water-Source Heat Pump | <input type="checkbox"/> Heat Pump Water Heater (HPWH) > 120 gallons |
| <input type="checkbox"/> Water-to-Water Heat Pump (WWHP) | <input type="checkbox"/> Ground Coupled Water Heater > 120 |
| <input type="checkbox"/> Custom Technology Application | <input type="checkbox"/> ERV system installed when ERV is not required by code for this project* |
| <input type="checkbox"/> Ground-Source Heat Pump (GSHP) | <input type="checkbox"/> ERV system exceeds minimum ERV efficiency required by code for this project* |

Existing Buildings

Comprehensive Upgrade ($\geq 90\%$ of Building Heating Load) Phased Upgrade / Retrofit ($< 90\%$ of Building Heating Load)

Existing Heating System Fuel (*check all that apply*) Natural Gas Oil Propane Electric
 Wood Wood Pellets Other _____

Building Heating Load Electrification

Specify percentage of Building Heating Load impacted by this project: _____% of Building Heating Load impacted

Specify percentage of impacted Building Heating Load satisfied by new heat pump installation: _____% of Building Heating Load satisfied

Does this project qualify for Early Replacement or Extended Life? Yes No
 Are you completing this project because of NYSEG and RG&E incentives? Yes No

Application Requirements

All of the following supporting documents MUST be submitted in order to be considered for the NYS Clean Heat Program:

- | | |
|--|--|
| <input type="checkbox"/> W-9 of the Incentive Recipient (Customer or Participating Contractor) | <input type="checkbox"/> Documentation of NYSERDA Incentives |
| <input type="checkbox"/> Customer Proposal/Statement of Work | <input type="checkbox"/> Equipment Cutsheets (optional) |
| <input type="checkbox"/> Cost Estimate for Proposed Work | <input type="checkbox"/> Heating and Cooling Load Reports |

Sizing Statement

 (*check to acknowledge agreement*)

Heat pumps have been sized based on heating and cooling loads that have been calculated in accordance with ANSI23/ASHRAE24/ACCA Standard 183-2007 (RA2017), or other equivalent code-approved computational procedure.

Estimated Installation/Completion Date

Installation Start Date: _____ Anticipated Date of Completion Paperwork Submission: _____

Estimated Annual Savings (Optional)

Heating Savings (kWh—use a negative value if increasing kWh by fuel switching to heat pump):	Cooling (kWh):	Fossil Fuel Heating Savings (MMBTU):	Total MMBTU (converted kWh + Fossil MMBTU):	Peak kW Reduction:

*See Energy Conservation Construction Code of New York State, Section C403.7.4.

Terms and Conditions

- 1. ATTESTATION:** I hereby request a rebate for the listed work. Attached are copies of all receipts. I certify that all information on this application is correct to the best of my knowledge and that I have read and agree to all Terms and Conditions of this rebate. I certify that a qualified installer will be hired to install the listed energy-efficient equipment in accordance with Program Guidelines and Terms and Conditions as described on this form. This rebate is for the benefit of NYSEG or RG&E's (the Companies) active nonresidential or residential electric Customers. Program offerings are subject to change without notice. I understand that some restrictions may apply. The Companies reserve the right to conduct field inspections to verify installations. I acknowledge that the Companies, their company partners, New York agencies and authorities will use this information and my attestation to determine whether a rebate will be issued. I understand that any omissions, misrepresentations or inaccuracies on this application may be a basis for a rebate denial, and I assume full liability for any misrepresentations I make regarding this application.
- 2. SUBMISSION DEADLINE:** Pre-approval from the Companies is required on all custom applications unless waived by NYSEG or RG&E. Rebate application must be filled out completely, signed, accompanied by dated itemized receipt(s) and proof of ownership (when necessary). All rebated equipment must be installed by the date agreed upon by the Companies and Contractor.
- 3. CUSTOMER ELIGIBILITY:** Rebates are available to active nonresidential or residential electricity Customers for the purchase and installation of qualifying energy-saving measures in the Companies' service territory. Rebates are offered on a first-come, first-served basis and are subject to project and Customer eligibility and availability of funds. Each Customer must provide a valid utility account number.
- 4. EQUIPMENT ELIGIBILITY:** Heat pump technology must be installed by a Participating Contractor associated with the NYS Clean Heat Statewide Heat Pump Program. The Companies will not pay for any heat pump or alternative electrification technology that is not eligible per the requirements in the Program documents or application. There will be no substitute heat pump or electrification technology unless the substitute is approved by the Companies in advance of installation. All eligible technology must be installed in conformance with federal, state and local code requirements. For scenarios in which Custom project eligibility is not clearly defined, refer to section 3.2.7 of the Program Manual.
- 5. PROOF OF PURCHASE:** The invoice must indicate the full project costs (material and labor), date of purchase, the size, type, make, model, serial number, part number and/or equipment manufacturer specification sheets.
- 6. DOUBLE DIP:** Customers may not apply for or receive multiple incentives for the same measures from another gas or electric utility or NYSERDA.
- 7. COMPLIANCE:** All projects must comply with all federal, state and local laws, rules, regulations and codes, as applicable. Existing equipment must be removed and disposed in a proper and legal manner. The Companies encourage that equipment be disposed of and/or recycled in an environmentally friendly manner. Equipment must meet specification requirements. Only one Clean Heat Program rebate will be granted for each project.
- 8. PAYMENT:** Once completed paperwork is submitted and approved by the Companies, rebate payments will be made within an estimated 6-8 weeks. Incomplete applications will be returned. The benefits/payments conferred upon the Customer through participation in this Program may be taxable by the federal, state and local government. The Customer is responsible for declaring and paying all such taxes. The party receiving the incentive payment (Customer or Contractor) must complete IRS Form W-9 and submit it to the Companies with the incentive application materials.
- 9. REBATE FINANCIAL LIMITS:** Current incentive caps are prescribed in sections 2.2 and 2.3 of the Program Manual. The Companies reserve the right to cap incentive amounts on a per-project or per-Customer basis per the needs of the Program.
- 10. PROGRAM EVALUATION:** Customer agrees to cooperate with Program evaluation activities to support evaluation and measurement of energy savings, cost effectiveness and effectiveness of Program processes. This may include on-site inspections, installation of energy metering devices, participation in interviews and surveys, and other evaluation activities.
- 11. DISCLAIMERS:** The Companies do not endorse any particular market provider, manufacturer, product, labor or system design by offering this Program; will not be responsible for any tax liability imposed on a Customer as a result of the payment of rebates; does not expressly or implicitly warrant the performance of installed equipment or Contractor's quality of work (contact your Contractor for detailed warranties); is not responsible for proper and legal disposal/recycling of any waste generated as a result of this project; and is not liable for any damage caused by the installation of the equipment or for any damage caused by the malfunction of the installed equipment.
- 12. APPLICATION DOES NOT ENTITLE CUSTOMER TO PARTICIPATE:** The Program described in this application may be altered, suspended or canceled by the Companies at any time without prior notice. Under such circumstances, the Customer is not entitled to any Program benefits in excess of those approved prior to such action by the Companies. Submission of a completed application does not entitle the Customer to Program participation. Entitlement to Program participation can only occur after the Companies have signed a copy of the application and granted pre-approval if required by the Companies.
- 13. NO WARRANTIES:** The Customer's reliance on warranties is limited to any warranties that may arise from, or be provided by Contractors, Vendors, etc. The Customer acknowledges that neither the Companies nor any of its consultants are responsible for assuring the design, engineering and construction of the facility or equipment or the installation of the energy savings measures is proper or complies with any particular laws (including patent laws), codes or industry standards. THE COMPANIES DO NOT MAKE ANY REPRESENTATIONS OF ANY KIND REGARDING THE RESULTS TO BE ACHIEVED BY THE ENERGY SAVINGS MEASURES OR THE ADEQUACY OR SAFETY OF SUCH MEASURES, INCLUDING BUT NOT LIMITED TO, WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE.
- 14. LIMITATION OF LIABILITY:** The Companies' sole liability is limited to paying the properly qualified heat pump or approved alternative electrification technology rebates specified herein. Neither the Companies nor any of its affiliates shall be liable to the Customer or any other party for any damages whatsoever, including, without limitation, indirect, consequential or incidental damages, regardless of the theory of recovery, caused by or arising from any activities associated with this Program.
- 15. OBLIGATIONS BETWEEN THE PARTIES:** Customer acknowledges that any Contractor selected by the Customer is not an Agent, Contractor or Subcontractor of the Companies. The Companies shall have no obligation to maintain, remove or perform any work whatsoever on the energy-saving measures installed. The Companies shall have no liability for Contractor's failure to perform, for failure of the energy-saving measures to function, for any damage to the Customer's premises caused by the Contractor or for any and all damages to property or injuries to persons caused by the energy-saving measures.
- 16. ENERGY BENEFITS:** The Companies are entitled to 100% of the energy benefits associated with the energy-saving measures, excluding the value of energy cost savings realized by the Customer.
- 17. CUSTOMER'S CERTIFICATION:** Customer agrees that all information is true and that they have conformed to all initiative and equipment requirements listed. Customer has verified that the units listed above have been installed correctly. Customer or Customer's representative has been instructed on how to operate and maintain this equipment and has received all necessary operation and maintenance manuals.

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Attestation

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Customer or Authorized Representative Name <i>(please print)</i> :	Title <i>(please print)</i> :	Date:
Authorized Signature:	Authorized Representative's Company Name <i>(if applicable)</i> :	