

## **GENERAL INFORMATION**

### **1. Territory to Which Schedule Applies:**

These rules and regulations are applicable to all schedules for electric service issued or adopted by the New York State Electric & Gas Corporation (Company), except as otherwise provided in individual rate schedules. Detailed statements of the territory to which this schedule applies appear in each rate schedule so far as the territory covered by the particular rate schedule is concerned.

### **2. How Service May be Obtained:**

Any applicant can obtain electric service by making application at any office of the Company or with any of its duly authorized representatives, and by complying with all other requirements of the schedules for electric service of the Company and with the appropriate terms and provisions affecting compensation for service rendered.

The provisions of the Company for extension of facilities as contained in this section are guided by and in compliance with applicable rules and regulations of the New York State Public Service Commission.

Unless the context otherwise requires, the terms utilized in this tariff are defined in the Glossary, contained in Section 14.

#### **A. Applicant Adjacent to Existing Lines:**

Any applicant whose premises can be served from suitable existing distribution lines of the Company can obtain electric service by making an application at any office of the Company or with any of its duly authorized representatives, and by complying with all other requirements of the schedules for electric service of the Company and with the same terms and provisions affecting compensation for service which are applicable to the other customers served from the existing line. Subject to any other provision of this tariff, the Company shall extend, at no cost to the residential applicant, up to a combined total of 100 feet of overhead distribution and/or service line, or the cost equivalent, to the point of attachment to the applicant's structure, as determined by the Company. Where more than a combined total of 100 feet of overhead distribution and/or service line(s) is required on private property, the customer can arrange with the Company or a contractor for such installation. If the Company constructs such excess service lateral, it shall charge for same at the rate of the reasonable per foot cost for the entire installation. The Company shall only construct such a line where accessibility, as determined by the Company, is adequate.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd)

#### B. Extension of Facilities:

##### (1) Facilities within Highway or Private Right-of-Way

Subject to the provisions of 16NYCRR Parts 98, 99 and 100, the Company shall furnish, place, construct, operate, maintain and when necessary replace at its own cost and expense all electric distribution lines, service connections and other facilities within the territorial limits of any street, avenue, road or way that is for any highway purpose under the jurisdiction of the legislative body of any city, town, village, county or the State of New York, or on a private right-of-way when the Company elects to use such a route in lieu of construction within such limits, used by the Company for supplying electricity to its customers. In the case where facilities are damaged, destroyed, caused to be replaced or reconstructed by an act or omission of any customer, person, corporation or other entity, the Company may recover its costs and expenses for such replacement or reconstruction from the party responsible for such act or omission.

##### (2) Company Obligation:

When a written request for electric service is made to the Company by an applicant whose property abuts on or has access to, any public right-of-way (other than a controlled access highway) in which the governmental authority having jurisdiction shall permit the Company to install and maintain facilities, the Company shall:

- (a) render the service requested in accordance with the provisions of this tariff;
- (b) furnish, place, construct, operate, maintain, and (when determined to be necessary by the Company or the Commission) reconstruct, or replace all electric facilities within public right-of-way and other right-of-way when the Company elects to use such right-of-way in lieu of constructing facilities within public right-of-way, at its own cost and expense, subject to the provisions of this tariff which cost and expense shall include the amounts paid to governmental authorities for permits to do the work required and any additional amounts paid for the right(s) to make such elective use of other rights-of-ways;
- (c) maintain, repair, and if necessary replace a service line, at its own expense, if the Company installed it and if installed by the customer, only to the extent that the Company contributed toward the installation of the service line, provided that any necessary easements are provided by the applicant or customer. The Company shall maintain applicant installed distribution facilities beyond the public right-of-way to the extent required by 16 NYCRR Parts 98.4 and 98.5;
- (d) grant the appropriate footage allowance as required by Rules 2.B.(6) and 2.B.(7) of this tariff; and

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### B. Extension of Facilities: (Cont'd.)

##### (3) Obligations of all Applicants:

Whenever an applicant, owner or occupant whose property abuts on any street, avenue, road or way upon which there is no electric line appropriate to the service requested for said property, makes a written application to the Company for service, the Company shall furnish, place and construct such lines to serve said property (either by using Company employees or contractors or, at the customer's option in the case only of overhead line extensions and under the conditions stated in 2.B.(3)(b)(ii), by permitting customers to hire contractors to do the work) provided that the applicant:

- (a) has first provided reasonable assurance to the Company that the use for which the service is requested shall be permanent;
- (b)
  - (i) has paid, or agreed in writing to pay, all costs (including materials, installation costs and the associated overhead costs based on average historical costs) relating to any portion of the distribution line, service line and appurtenant facilities, (other than Account 368 "Transformers" or Account 370 "Meters"), that exceed the portion that the Company shall provide without a contribution from the applicant as stated in 2.B.(6) and 2.B.(7). The costs (to be paid the Company in a lump sum or as a 10-year surcharge payment as further described in this Tariff) shall be determined based on the Company's Engineering estimate for each individual case; OR,
  - (ii) has elected to arrange with a private contractor for the construction of any portion of the overhead distribution line or service line that exceeds the portion that the Company shall provide without a contribution from the applicant, as stated in Rules 2.(B).7(a) and (b). This option is only available to the extent consistent with any governmental prohibitions or limitations on work by private contractors within the public right of way. Where such arrangements are made:
    - applicants shall be required to pay the Company an Engineering Fee based on field engineering and inspection costs experienced by the Company, and must agree to ensure that the contractor's work meets all standards specified by the Company;

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### B. Extension of Facilities: (Cont'd.)

##### (3) Obligations of all Applicants: (Cont'd.)

##### (b) (Cont'd)

- The Company shall assume ownership and responsibility for the Distribution Line and right of way upon verification that the completed line meets required standards and is placed in service, and the Company shall thereafter maintain the line as if it were constructed by the Company or its contractors. Placed in service is to mean connected to the Company's existing facilities by the Company;
- if additional applicants request service off the distribution line within 10 years of its construction, excess line allowances shall be recalculated as described in Rules 2.B.(4)(d)(i) and 2.B.(4)(f). Any prorated refund shall be at the lesser of the customer's actual, invoiced construction cost or the Company's average experienced construction costs;
- at the Company's discretion it shall construct the applicant's distribution line allowance (500' single phase or 300' three phase) or reimburse the applicant for the applicable allowance at the lower of the applicants' invoiced construction costs or the Company's actual experienced costs.

##### (c) has either:

- (i) delivered to the Company, free from cost, any necessary easements or rights-of-way; or,
- (ii) paid, or agreed in writing to pay, any charge relating to the Company's acquisition of the necessary easement or rights-of-way. The applicant must indicate to the Company, in writing, that they have been unable to obtain such easement or rights-of-way; and

##### (d) has furnished reasonable security, based on the estimated construction costs of the portion of the line extension in excess of what the Company provides without charge, if so required by the Company.

**GENERAL INFORMATION**

2. How Service May Be Obtained: (Cont'd.)

B. Extension of Facilities (Cont'd.)

(4) Additional Obligations of Residing Applicants:

Before service is provided, a residing applicant shall comply with the "Obligation of all Applicants" and in addition shall comply with the following requirements:

- (a) Signed, or agreed to all the provisions on, the Application for Electric Service, a form of which is provided in Rule 2.I.; and
- (b) Agreed to pay the Company the rates charged like customers; and,
- (c) Paid, or agreed to pay the Company for the installation costs and expenses of any distribution lines, service lines, right-of-way and appurtenant facilities, in excess of any allowances under this Rule, prior to the commencement of construction. The costs and expenses for each applicant shall be determined as follows:
  - (i) Service Lines - the costs and expenses for all facilities in excess of any allowances provided under Rule 2.B.(6).
  - (ii) Distribution Lines - the costs and expenses for all facilities in excess of any allowances provided under Rule 2.B.(6) for any distribution line required exclusively to provide service to the applicant's property and a pro rata portion of the costs and expenses for all facilities in excess of any allowances provided under Rule 2.B.(6) for any portion of the distribution line that provides service to more than one applicant's or customer's property. The pro rata portion shall be calculated as follows: each applicant shall be provided a distribution footage allowance of up to the distribution footage allowance under Rule 2.B.(6) as required for each customer property to be served. Each individual applicant's distribution allowances shall then be totaled to determine the aggregate footage allowance for the distribution line. If an applicant is taking service within this aggregate footage allowance section of distribution provided without cost, then the applicant shall not be required to pay for distribution costs. For any sections of distribution beyond the aggregate distribution footage allowances, each applicant of the section beyond the aggregate footage allowances shall pay for that portion of the costs and expenses for that distribution section divided by the number of customers served by that distribution section. If, within 10 years from the date that the extension went into service, any new customer is added to the extension any allowances provided to such an applicant shall be first applied to the existing extension and, if the extension branches or diverges from the existing extension, then and thereafter to the new or additional distribution extension.
- (d) A residing applicant may elect to either:
  - (i) Pay a lump sum payment for the costs and expenses of such facilities. If, within ten years from the date that the extension went into service, either (1) any new customer is added to the extension the payment amounts shall be recalculated and the applicant that paid a lump sum payment shall receive a prorata refund, without interest, for the cost of that additional portion of distribution lines that the applicant would have received without contribution or (2) the total revenue from all customers served by the distribution extension exceeds 1.5 times the Company's costs and expenses in each of any two consecutive calendar years, the applicant shall receive a prorated refund, without interest, of the lump sum payment based upon the number of years which elapsed before the revenue test was met; or

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### B. Extension of Facilities: (Cont'd.)

##### (4) Additional Obligations of Residing Applicants: (Cont'd.)

###### (d) A residing applicant may elect to either: (Cont'd)

- (ii) Pay a monthly payment for such facilities. The surcharge shall be applicable for 10 years, and billed in monthly installments by the Company as set forth below. When any new customer is added to the extension, the surcharge shall be recalculated and the payment amount adjusted for the remaining years. However, the interest factor shall remain constant for the life of the surcharge.

- (iii) The monthly payment shall be calculated as follows:

Monthly payment =  $L \times R$   
where:

$L$  = Total cost of excess facilities, including appropriate Right-of-Way costs if requested by the customer, less down payment.

$R$  = Monthly capital recovery factor =  $I \div (1 - \{1 + I\}^{-120})$

$I$  =  $C \div 12$

$C$  = Company's weighted pre-tax cost of capital as established in its most recent rate proceeding.

Note: If the initial amount of excess construction charges is less than \$1,000, the applicant must make a lump sum payment as specified in Rule 2.B.(3)(c)(i).

- (iv) At any time, the applicant may make a lump sum payment of the outstanding principal balance. Such lump sum payment shall be subject to refund for the remaining term of the original 10-year agreement as customers are added to the excess line extension.

- (v) Any applicant who may be served within the distance of the aggregate free allowance shall incur no cost for the distribution line.

- (vi) The remainder of any unpaid installment charges shall be collectible from any subsequent owner of the premises served provided the original surcharge agreement contains the bold face notice: **"THE APPLICANT IS REQUIRED TO INFORM A PROSPECTIVE OWNER OF SUCH OBLIGATION."**

- (e) Within 10 years from the commencement of service any new applicants taking service from excess cost distribution lines are subject to either a lump sum payment or a monthly payment based on a 10-year plan. Such adjusted payment shall be recalculated in accordance with Rule 2.B.(4)(c) and (f).

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### B. Extension of Facilities (Cont'd.)

##### (4) Additional Obligations of Residing Applicants: (Cont'd.)

##### (f) Line extension costs shall cease or be adjusted as follows:

- (i) If within 10 years of the commencement of service more than one applicant is served from such distribution line, each applicant shall bear a portion of the distribution line cost prorated based upon the applicant's distance along the extension. After 10 years from the commencement of service from such distribution line extension, applicants requesting service from that extension shall not be subject to excess line extension costs.
- (ii) Within 10 years of the commencement of service from such distribution line, whenever the aggregate entitlement (combined total of each individual's actual free allowance which shall be the applicant's actual required footage up to 500 feet) of the customers then served from the line equals or exceeds its length, the charge for excess distribution line extension shall terminate to all customers served from such distribution line.
- (iii) Each applicant's share of the costs for distribution line beyond the aggregate free allowances shall be the prorated share of the costs and expenses for the section of distribution line required to serve that customer. No applicant/customer shall be responsible for any of the cost of distribution line footage which extends beyond the point on the distribution line from which the applicant/customer receives service.
- (iv) Charges for the excess distribution line extension shall cease, whenever the total revenue from all customers served from the associated distribution line extension exceeds 1.5 times the actual capital cost of such extension for each of any two consecutive calendar years occurring within 10 years from the date the first customer took service. Where a customer has made a lump sum payment, an appropriate prorated refund shall be made based on the number of years the line has been available for service prior to the revenue test being satisfied.
- (v) No excess distribution line extension charges shall be imposed if the Company estimates that the total revenue to be received from all customers served from the associated distribution line extension shall exceed 1.5 times the actual capital cost of such extension for each of any two consecutive calendar years occurring within 10 years from the date the first customer takes service from that extension.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### B. Extension of Facilities: (Cont'd.)

##### (5) Additional Obligations of Non-Residing Applicants:

Before service is provided a non-residing applicant shall comply with the requirements required of the applicants (Rule 2.B.(3)) and in addition shall have:

- (a) cleared any right-of-way conveyed to the utility of tree stumps, brush and other obstructions and graded such right-of-way to within six inches of final grade at no charge to the Company where electric distribution lines, service lines, or appurtenant facilities are required to be installed underground or shall be placed underground at the request of the applicant,
- (b) provided a survey map certified by a licensed professional engineer or land surveyor and certified to as final by the applicant, showing the location of each dwelling (if known), lot, sidewalk and roadway.
- (c) placed and agreed to continue to maintain survey stakes indicating grade and property lines,
- (d) furnished to the Company or agreed to furnish a map showing the location of all existing and proposed underground facilities, as soon as the location of such facilities is known, and prior to commencement of construction by the Company,
- (e) agreed to maintain the required clearance and grading during construction by the Company,
- (f) if required by the Company, paid contributions and deposits in accordance with Rule 2.C.(6).



**GENERAL INFORMATION**

2. How Service May Be Obtained: (Cont'd.)

B. Extension of Facilities (Cont'd.)

(6) Underground Allowances for Provision of Service:

(a) Allowance for Required Residential Underground Service:

Where the Company is required, by the Commission or a governmental authority having jurisdiction to do so, to provide residential underground service, the cost and expense which the Company must bear, except as otherwise provided in this tariff, shall include all costs for up to a total equivalent of 100 feet of underground electric facilities (including supply line, distribution line, and service line) per dwelling unit served, measured from the Company's existing overhead electric system (from the connection point on the bottom of the riser pole for overhead to underground connections) to each applicant's meter or point of attachment with respect to each residential building. Where the application is for service to a multiple occupancy building, the Company shall bear the material and installation cost for up to 100 feet of underground line times the average number of residential dwelling units per floor.

(i) Agreed to maintain the required clearance and grading during construction by the Company.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### B. Extension of Facilities (Cont'd.)

#### (6) Underground Allowances for Provision of Service: (Cont'd.)

##### (b) Allowance for Non-Mandatory Residential Underground Service:

Where an applicant requests a residential underground service line in situations other than those described in (a), the cost and expense which the Company must bear shall include the material and installation costs equivalent to those relating to the length of overhead service line which the applicant would otherwise be entitled under Rule 2.B.(7)(a) measured from the Company's existing electric system (from the connection point on the bottom of the riser pole for overhead to underground connections) to each applicant's meter or point of attachment with respect to each residential building.

##### (c) Allowance for Residential and Nonresidential Underground Service elected by the Company:

Where the Company chooses to provide residential or nonresidential underground service, the cost and expense which the Company must bear shall include the material and installation costs relating to the necessary Company facilities that exceed the amount which the applicant would be required to pay if such facilities were installed overhead.

##### (d) Provision of Mandatory or Non-Mandatory Nonresidential Underground Service:

Where requested to provide a nonresidential underground service to an applicant, or where a governmental authority having jurisdiction to do so requires undergrounding, the cost and expense which the Company must bear shall include the material and installation costs equivalent to those relating to the provision of nonresidential overhead service to which the applicant would otherwise be entitled under Rule 2.B.(7)(b).

#### (7) Overhead Allowances for Provision of Service:

##### (a) Allowance for Residential Overhead Service.

Where the Company is permitted to provide residential overhead service, the cost and expense which the Company must bear shall be equal to the material and installation costs for up to 500 feet of single phase overhead distribution line and up to 100 feet of service line.

##### (b) Allowance for Nonresidential Overhead Service.

Where permitted to provide nonresidential overhead service, the cost and expense which the Company must bear shall be equal to the material and installation costs for up to 500 or 300 feet of overhead distribution line, for single-phase and three-phase service, respectively.

##### (c) Allowance for a Combination of Overhead and Underground Service.

The costs and expenses the Company must bear shall be equal to the material and installation costs equivalent to those allowances contained in Rule 2.B.(7)(a) or Rule 2.B.(7)(b), respectively.

**GENERAL INFORMATION**

2. How Service May Be Obtained: (Cont'd.)

C. Underground Extensions for New Residential Subdivisions:

(1) Right-of-Way:

An applicant for service requiring the extension of underground lines shall execute and deliver to the Company free from cost, and in reasonable time to meet service requirements, permanent easements or rights-of-way in accordance with the policies stated in Sections 2.B.(3) and 2.B.(5) of this tariff.

(2) Application and Installation:

For purposes of this Rule 2.C., a subdivision is a tract of land divided into five or more lots for the construction of new buildings, or the land on which new multiple-occupancy buildings are to be constructed, the development of either of which has been approved or was required to have been approved by the governmental authorities having jurisdiction over land use.

Any distribution line, service line and appurtenant facilities necessary to furnish permanent electric service to one or more new multiple-occupancy buildings containing four or more individual dwelling units, and any such facilities necessary to furnish permanent electric service within a residential subdivision in which it is planned to build five or more new residential buildings, and upon compliance by the applicant with the requirements of this Rule, shall be installed underground in accordance with the provisions contained herein:

- (a) if the residential subdivision shall require no more than 200 trench feet of facilities per dwelling unit planned within the subdivision; or,
- (b) if the developer of the residential subdivision applies for underground service; or,
- (c) if underground service is required by a municipal ordinance, or other governmental authority having control of the land use.

Upon receipt of written application, the Company shall inform the telephone and CATV companies that service the area in which the residential subdivision is located, of the receipt of such application. Upon compliance by the applicant with the requirements of these rules, the Company shall install underground electric distribution lines with sufficient capacity and of suitable material which, in its judgment, shall assure that the applicant shall receive safe and adequate electric service. The applicant shall provide the Company sufficient building design and electric load information to facilitate the Company's electrical design and adequate space for facility installation. Such installation shall be made at a time appropriate to render service as determined by the Company, but the Company shall not delay construction after a timely application is received so that the applicant shall be delayed in the sale or other disposal of the buildings or lots, except where such delay is caused by strikes, fire, flood, inclement weather, unavailability of materials, civil disorders, or other conditions beyond the control of the Company. No overhead circuits, including street lighting circuits shall thereafter be installed by the Company within a residential subdivision having underground distribution lines.

Under certain conditions as set forth in Rule 2.C.(9), the Company may install overhead distribution lines in new subdivisions.

(1) Pre-conditions

Prior to construction, the applicant shall:

- (a) Execute the Application for Underground Residential Distribution System and Comply with any applicable provisions of Rule 2.

**GENERAL INFORMATION**

2. How Service May Be Obtained: (Cont'd.)

C. Underground Extensions for New Residential Subdivisions: (Cont'd.)

(3) Service Connection:

The Company shall designate the service connection point to a building or to a multiple occupancy building and the point at which the service lateral shall connect to the Company's electric distribution lines or equipment. Each service lateral within the lot line and running to each building shall be installed by the applicant in accordance with the Company's specifications.

(4) Excessive Costs:

If the Company receives an application for underground service and the estimated per foot cost of installation for the subdivision is greater than two times the charge per foot filed with the Public Service Commission, the Company or applicant may petition the Public Service Commission to allow overhead service. The petition shall set forth the relevant economic, engineering, or environmental factors. If the necessary facilities are proposed to be in a VSR, the procedures set forth in 16 NYCRR Part 99.2 shall apply. If the residential subdivision is located within the Adirondack Park, the utility shall send a copy of the petition to the Adirondack Park Agency.

(5) Connection to Supply System

The connection from the existing electric distribution system to the underground distribution lines installed within the applicant's subdivision shall be made by the Company.

Allowances, as stated in 2.B.(6)(a), shall be applied to the distribution line within the subdivision and service lines in the subdivision, in that order, unless a governmental requirement mandates the supply line to be placed underground, in which case such allowances shall be applied to the supply line first. Any underground line extension requirements to provide service to the applicant, that exceed the designated allowances, shall be constructed by the Company, but shall require a contribution by the applicant.

Where any part of the supply line, in excess of that portion included in the Company's allowance, is to be placed overhead, an applicant must submit a written application to the Company at least 75 days prior to the projected commencement of the construction of the supply line. The Company must report such projected construction to the Commission no later than 45 days before such construction is commenced. The Commission reserves the right to require the underground installation of particular lines, on the basis of the relevant economic, engineering, or environmental factors.

In the event the Company either intends, at its own discretion, or is required pursuant to this tariff to place underground connecting supply lines between an existing electric system and the underground distribution lines installed within an applicant's residential subdivision, the Company shall inform the telephone company and cable television company serving the area in which the residential subdivision is located. If a new common access route from the existing electric system to the residential subdivision shall be used, the connecting supply lines of the utility and the telephone company and cable television company shall be placed underground.

If a governmental authority having jurisdiction to require undergrounding, has required that underground facilities be installed, the Company shall furnish and construct, when necessary, an amount of underground supply circuit from the boundary line of the subdivision to the Company's existing distribution system. The "supply line" shall be charged by actual costs as specified in the URD Statement at the end of this Schedule (P.S.C. No. 119 – Electricity).

Issued by: James A. Lahtinen, Vice President – Rates & Regulatory Economics, Binghamton, NY

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### C. Underground Extensions for New Residential Subdivisions: (Cont'd.)

##### (6) Contributions (Deposit info)

Where the installation of electric facilities is required to serve a residential subdivision or approved section thereof or to serve a multiple occupancy building, a deposit subject to complete or partial refund shall be required.

The Company shall accept from a non-residing applicant, before construction is begun, a deposit equal to the Company's portion of the total estimated cost of construction. This deposit is in addition to the applicant's payment of its share of costs for installation. The deposit shall be refunded to the applicant, with interest (at the consumer deposit rate), at the rate of up to 100 feet per dwelling unit or the actual footage required per dwelling unit based upon the original subdivision plan, whichever is lower, as meters are set for each dwelling unit. The total amount refunded shall not exceed the total original deposit. However, any portion of the deposit remaining unrefunded five years from the date the Company is first ready to render service from the underground electric distribution lines, shall be retained by the Company. Upon mutual agreement of both the Company and applicant, a bond may be posted in lieu of any deposit.

In cases where the applicant has purchased a lot within a subdivision and the developer of the subdivision is not primarily engaged in the construction of dwelling units within the subdivision and has not applied for the extension of electric distribution lines in a subdivision which is required to have underground service, the Company shall install underground distribution lines to serve an applicant who is the purchaser of a lot within the subdivision and to other areas of the subdivision as may be dictated by considerations of efficiency and economy and shall charge the applicant for his pro rata share of applicable charges. As additional applicants apply for service and utilize the distribution lines installed to serve a prior applicant the Company shall charge the additional applicant for his pro rata share of the distribution lines as allowed in 2.B.(4).

##### (7) Cooperation:

Each applicant shall cooperate with the Company in an effort to keep the costs of construction and installation of the underground electric distribution lines, service lines, and appurtenant facilities, as low as possible, consistent with the requirements for safe and adequate service, including reasonable provision for load growth and requirements of 16 NYCRR Part 101.

All sewers, water facilities and drainage facilities shall be installed before the Company commences construction.

##### (8) Applicant Trenching in Subdivision

A non-residing applicant for underground service to a residential subdivision has the option to do his own trenching, or have it done, and receive payment from the Company for the amount per foot specified in the URD Statement found at the end of this Schedule (P.S.C. No. 119). For any excavation work done by the applicant, the Company may charge a fee to inspect the applicant's work and insure compliance with Company specifications.

## **GENERAL INFORMATION**

### **2. How Service May Be Obtained: (Cont'd.)**

#### **C. Underground Extensions for New Residential Subdivisions: (Cont'd.)**

**(9) Exceptions to the General Rule:**

The installation of overhead distribution facilities may be allowed under the following circumstances:

**(a) Large Lots**

When the average trench footage per dwelling unit planned within a subdivision exceeds 200 feet, and the developer does not request nor has a governmental authority with jurisdiction to do so required that underground facilities be installed, overhead lines may be installed.

**(b) Excessive Cost**

Where the trench cost per foot would be greater than twice the filed cost per foot shown in the Statement of Underground Residential Distribution Contribution (URD Statement), the Company or applicant may petition the Public Service Commission to allow overhead lines or grant other appropriate relief, if a governmental authority having jurisdiction to do so has not required that underground facilities be installed.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### C. Underground Extensions for New Residential Subdivisions: (Cont'd.)

##### (9) Exceptions to the General Rule: (Cont'd.)

##### (c) Slow Development of a Subdivision

The Company may install overhead distribution lines in a residential subdivision or section thereof otherwise required to have underground distribution lines when;

- (i) the developer of the residential subdivision is not primarily engaged in the construction of dwelling units within the residential subdivision;
- (ii) no governmental authority having jurisdiction to do so has required underground service; and
- (iii) either:
  - 1) five years have elapsed from the sale of the first lot within the residential subdivision to the first application for installation and the Company has no indication that there shall be other new applicants in the residential subdivision within six months, or
  - 2) five years have elapsed from the time of final approval of the residential subdivision or section thereof and less than 25% of the lots have been sold in the residential subdivision or any section thereof except where 10% or more of the lots in the residential subdivision or any section thereof have been sold within the last two years.
- (iv) In cases where overhead installation would be permissible in accordance with conditions (iii), except that less than five years have elapsed and the Company has reason to believe that the residential subdivision shall not be developed sufficiently soon to permit the orderly utilization of underground lines installed to serve the initial applicant(s), the Company may petition the Commission to allow overhead installation. Such petition shall set forth the relevant economic, engineering, or environmental factors. The petition shall be granted or denied based on those factors.

**GENERAL INFORMATION**

2. How Service May Be Obtained: (Cont'd.)

C. Underground Extensions for New Residential Subdivisions: (Cont'd)

(9) Exceptions to the General Rule (Cont'd):

(d) Environmental Effects

When the Company or applicant believes the installation of overhead lines would be more environmentally desirable than underground facilities, the Company or applicant may petition the Public Service Commission to allow overhead lines, if a governmental authority having jurisdiction to do so has not required that underground facilities be installed.

- (i) Service to a residential subdivision may be supplied overhead if no governmental authority having jurisdiction to do so has required undergrounding and the Company can provide service to the entire residential subdivision under the following circumstances:
  - By extending its facilities no more than 600 feet in a cul-de-sac where a portion of the street within the residential subdivision is served by overhead facilities within or at the entrance of the cul-de-sac; or
  - By connecting an area between existing overhead facilities for a distance of 1,200 feet, or less.
- (ii) If no governmental authority having jurisdiction to do so has required undergrounding, service to a residential subdivision may be supplied overhead by installing service laterals to new applicants from existing overhead lines.

Where the Company constructs overhead lines because of reasons in paragraph (i) it shall report such overhead construction to the Commission quarterly along with a description of the project. Notwithstanding the foregoing provisions, if the necessary facilities are proposed to be in a VSR, the procedures set forth in 16 NYCRR Part 99.2 shall apply.

(e) Cul-de-sac

Overhead facilities may be installed when no more than 600 feet of overhead extension is required to serve a cul-de-sac where a portion of the street within the subdivision is served by overhead facilities within or at the entrance to the cul-de-sac, if a governmental authority having jurisdiction to do so has not required that underground facilities be installed.



## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### C. Underground Extensions for New Residential Subdivisions: (Cont'd)

##### (9) Exceptions to the General Rule (Cont'd):

- (f) **Connection of Existing Overhead Lines**  
Overhead facilities may be installed when existing overhead distribution lines can be connected by no more than 1,200 feet of extension, if a governmental authority having jurisdiction to do so has not required that underground facilities be installed.
- (g) **One-pole Extension**  
Where a one-pole extension, including but not limited to road crossing pole extensions, would enable an existing overhead distribution line to be connected to a proposed distribution line in a residential subdivision, such extension may be installed overhead, rather than underground; provided, however, that the necessary facilities are proposed to be in a VSR, the procedures set forth in 16 NYCRR Part 99.2 shall apply.
- (h) **Service Laterals**  
Overhead service laterals may be installed in new subdivisions from existing overhead distribution lines, if a governmental authority having jurisdiction to do so has not required that underground facilities be installed.

In unusual circumstances when the application of these rules appears impracticable or unjust to either party or discriminatory to other customers, the applicant or the Company may refer the matter to the Public Service Commission for a special ruling or for approval of special conditions mutually agreed upon prior to commencing construction.

In cases where overhead installation would be permissible in accordance with conditions in 2.C.(9)(c)(iii), except that less than five years have elapsed and the Company has reason to believe that the residential subdivision shall not be developed sufficiently soon to permit the orderly utilization of underground lines installed to serve the initial applicant(s), the Company may petition the Commission to allow overhead installation. Such petition shall set forth the relevant economic, engineering, or environmental factors. The petition shall be granted or denied based on those factors.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### D. Application of Other Extension Plans:

Where the Company acquires facilities from another utility and the customers are served from a line extension constructed under a surcharge plan, the Company shall continue to bill such customers the same surcharges as previously paid, or surcharges computed in accordance with the provision of the plan outlined in this Schedule, whichever may be lower.

#### E. Seasonal Service:

Each customer taking seasonal service shall guarantee a minimum seasonal charge of 12 times the per month Customer Charge as provided by S.C. No. 1 of P.S.C. No. 120 - Electricity or superseding issues thereof.

#### F. Unusual Conditions and Increased Loads:

1. Where the Company cannot be assured that the business to be served shall be permanent or where unusual expenditures are necessary to supply service because of the location, size, or character of the applicant's or customer's installation, facilities shall be constructed only when applicant or customer makes an adequate contribution toward the cost of such facilities, or guarantees continued payment of bills for electric service, or makes other satisfactory arrangements which would be sufficient to warrant the Company to undertake the investment and expense involved.
2. The customer should give the Company any reasonable advanced written notice, of any proposed new or increased service required, setting forth in such notice the amount, character, and the expected duration of time the new or increased service shall be required. If such new or increased load exceeds 150 kilovolt-amperes, and if it necessitates new or added or enlarged facilities (other than metering equipment) for the sole use of customer, the Company may require the customer to make a reasonable contribution to the cost of the new or added or enlarged facilities whenever customer fails to give assurance, satisfactory to the Company, that the taking of the new increased service shall be of sufficient duration to render the supply thereof reasonably compensatory to the Company. The customer or the Company may apply to the Public Service Commission for a ruling as to the necessity for and reasonableness of the contribution required.
3. If a customer is found to be the source of any disturbances, variations, or harmonics that affect the service of another customer or area, the customer causing such disturbances, variation or harmonics shall install the necessary equipment or change operating practices to alleviate such disturbances, variations, or harmonics. If such customer refuses or fails to install such equipment or change operating practices, then the Company shall install the necessary equipment to alleviate the problem. The customer causing such disturbances, variations, or harmonics shall bear the Company's full costs and expenses incurred in remedying the situation.

#### G. Temporary Service:

Temporary service is nonrecurring service intended to be used for a short time only, seasonal, or service to a building, structure or personal property which is nonpermanent in that it may be readily removed or relocated.

An applicant or customer requiring temporary service for other than a permanent residential dwelling unit shall, upon signing for such service, pay to the Company a nonrefundable amount equal to the estimated cost to the Company for labor, material, and all other costs occasioned by the installation and removal of the service.

Where the installation presents unusual difficulties as to metering the energy supplied, the Company may estimate the amount of energy consumed and may bill the customer in accordance with such estimated amount applied to applicable rate classification.

Issued by: James A. Lahtinen, Vice President – Rates & Regulatory Economics, Binghamton, NY

## **GENERAL INFORMATION**

2. How Service May Be Obtained: (Cont'd.)

G. Temporary Service (Cont'd):

If a distribution line is required to be extended in order to provide the temporary service, the applicant shall pay the Company's full costs and expenses for the installation and removal of the distribution line.

As a general rule a trailer is considered to be a non-permanent installation. A trailer, building or structure shall be considered permanent when it is not movable and set on and permanently attached to a masonry foundation and connected to a permanent water supply and septic/sewer system. The permanent water supply and the septic/sewer system must be approved by the appropriate municipality or agency having jurisdiction in the area. A foundation under this Rule does not include a concrete or cement pad.

Temporary service shall be furnished under the applicable Service Classification without term limitation.

H. Standby: Auxiliary or Breakdown Service

Customers operating power generating equipment and having equipment that may be operated by privately generated power or by purchase power, may contract for service under an applicable Service Classification. The customer shall not operate their own power generating equipment in parallel with the Company's service except under control by, and with the Company's consent.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### I. Application for Service

##### (1) **Residential:**

##### (a) Application

An application for residential service may be oral or written. An oral application for service shall be deemed completed when the applicant provides his or her name, address, telephone number and address of prior account (if any) or prior account number (if any). The Company may require an applicant to complete a written application (for the applicable service classification) if:

- (i) There are arrears at the premises to be served and service was terminated for non-payment or is subject to a final notice of termination; or
- (ii) there is evidence of meter tampering or theft of service; or
- (iii) the meter has advanced and there is no customer of record; or
- (iv) the application is made by a third party on behalf of the person(s) who would receive service.
- (v) Service will be rendered under a general service classification

Whenever a written application for residential service is required, the Company shall so notify the applicant as soon as practicable after the request for service is made, and in no event more than two business days after such request, and shall state the basis for requiring a written application. A written application may require the submission of information required in an oral application, and reasonable proof of the applicant's identity and responsibility for service at the premises to be served. All residential applicants that meet the conditions for requiring a written application may be asked to produce positive identification. Should the residential applicant refuse to provide positive identification, service may be denied to such applicants, pursuant to 16 NYCRR 11.3 or a deposit may be required pursuant to Rule 4.L.1. A written application containing the required information shall be deemed completed when received by the Company.

No application or contract shall be modified or affected by any promise, agreement, or representation of any agent or employee of the Company which is not in conformance with the tariffs.

When accepted by the Company, the application, whether written or verbal, and the terms and conditions of this schedule, as permitted to be modified from time-to-time by the Public Service Commission, shall constitute the contract between the customer and the Company and shall bind and inure to the benefit of the heirs, executors, administrators, successors, or assigns, as the case may be, of the respective parties thereto. A customer of record, for whom the Company is unable to locate a written application but who has made payments for bills rendered by the Company for service rendered, shall be presumed to have made an oral application for service.

PSC NO: 119 ELECTRICITY  
NEW YORK STATE ELECTRIC & GAS CORPORATION  
Initial Effective Date: 04/01/14

Leaf: 24  
Revision: 1  
Superseding Revision: 0

## **GENERAL INFORMATION**

Reserved for Future Use

Issued by: James A. Lahtinen, Vice President – Rates & Regulatory Economics, Binghamton, NY

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### I. Application for Service (Cont'd.)

##### (1) **Residential** (Cont'd)

##### (b) Former Indebtedness Paid - Residential

The Company shall not be obligated to provide service to a residential applicant who owes the Company money for residential service provided to a prior account in their name unless:

- (i) The applicant makes full payment for residential service provided to any such prior account in his or her name; or
- (ii) the applicant agrees to make payments under a deferred payment plan of any amounts due for service to a prior account in his or her name; or
- (iii) the applicant has pending a billing dispute with respect to any amounts due for service to a prior account in his or her name and has paid any amounts required to be paid; or
- (iv) the applicant is a recipient of, or an applicant for, public assistance, supplemental security income benefits or additional state payments pursuant to the Social Services Law, and the Company receives from an official of the social services district in which the applicant resides, or is notified by such an official that it is entitled to receive, payment for services due to a prior account in the applicant's name together with a guarantee of future payments to the extent authorized by the social services law; or
- (v) the Commission or its authorized designee directs the provision of service.

##### (c) Obligation to Serve - Residential

The Company shall be obligated to provide service to any residential applicant who meets the requirements of Rule 2.I.(1)(a) and (b) above within five business days of receipt of a completed oral or written application for service, except:

- (i) Where prevented by labor strikes or precluded by law;
- (ii) where precluded by consideration of public safety;
- (iii) where the applicant fails to pay, or agree in writing to pay, reasonably chargeable material and installation costs relating to temporary or permanent line extensions or service laterals as required by this tariff or fails to comply with the Residential Insulation Standards contained herein; or
- (iv) where precluded by physical impediments including:
  - adverse weather conditions;
  - inability to gain access to premises in the possession of the applicant or others;
  - incomplete construction of necessary facilities by the applicant or inspection thereof by the appropriate authorities; or
  - incomplete construction of necessary facilities by the Company; or

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd)

#### I. Application for Service (Cont'd)

##### (1) **Residential** (Cont'd)

##### (c) Obligation to Serve – Residential (Cont'd)

- (v) where an applicant for seasonal or short-term service fails to post a lawfully required deposit.

The Company shall make reasonable efforts to eliminate conditions preventing extension or service and will pursue completion of any facilities it must construct with due diligence.

The Company shall extend service to an applicant for residential service whose application for service has previously been denied within two business days (or such later time as may be specified by the applicant) after the elimination of all the conditions which resulted in the denial of service or by direction of the Public Service Commission or its authorized designee, who may require such extension of service to be made within 24 hours.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### I. Application for Service (Cont'd.)

##### (1) Residential (Cont'd)

###### (d) Denial of Service – Residential

The Company shall not deny residential application for service without sending to the applicant within three business days of receipt of the application for service a written notice which states the reason or reasons for the denial, specifies precisely what the applicant must do to qualify for service, and advises the applicant of his right to an investigation and review of the denial by the Public Service Commission or its authorized designees if the applicant considers the denial to be without justification. The Company shall advise the applicant of the appropriate address and telephone number of the Commission, including the Commission's hotline number and the times of its availability. An application for service not approved within three business days shall be deemed denied.

###### (e) Continuation of Service - Residential

Whenever a residential customer moves to a different dwelling within the service territory of the Company and for which the Company's tariff specifies a residential rate, and requests utility service within 60 days, he or she shall be eligible to receive service at the different dwelling, subject to Sections 2.I.1 and 2.I.2, and such service shall be considered a continuation of service in all respects, with any deferred payment agreement honored, and with all rights provided, however, that such customer's prior service was not terminated for nonpayment, meter tampering or theft of services.

###### (f) Residential Penalty

If the Company fails to initiate residential service within the time required by this section it shall forfeit and pay to the applicant the sum of \$25.00 per day for each day that service is not supplied unless the Public Service Commission finds that the Company had good cause for not initiating service in the required time.

##### (2) Non-Residential

###### (a) Application

As a prerequisite to providing service, the Company may require the applicant to:

- (i) Provide appropriate documentation to verify the information provided on the written application, including establishment of responsibility for the service as owner or occupant, the correct service classification, and the person who controls access to the meter;
- (ii) Comply with the Company's tariff or any applicable state, city or local laws or ordinances;
- (iii) fulfill any applicable requirements of obtaining service found in Rules 2.I.(2)(a) and 2.I.(2)(c) of this tariff relating to line extension and service.



**GENERAL INFORMATION**

2. How Service May Be Obtained: (Cont'd.)

I. Application for Service (Cont'd.)

**(2) Non-Residential (Cont'd)**

(a) Application (Cont'd)

- (iv) Fulfill any applicable requirements of 16 NYCRR 98 and 99; and
- (v) make full payment for all amounts due and payable which are not either the subject of a pending billing dispute (pursuant to 16 NYCRR 13.15) or of an existing Deferred Payment Agreement that is in good standing. This includes:
  - (aa) Service provided and billed in accordance with 16 NYCRR 13.11 to prior accounts and current accounts in the applicant's name or other accounts for which the applicant is legally responsible; or
  - (bb) other tariff fees, charges, or penalties;
  - (cc) Any reasonably chargeable material and installation costs relating to temporary or permanent line or main extensions or service laterals as authorized under 16 NYCRR 98 and required by the Company's tariff, provided these costs are itemized and given to the applicant in writing;
  - (dd) Any special services as applicable under the Company's tariff, provided the charges are itemized and given to the applicant in writing; or
  - (ee) a security deposit, if requested by the Company in accordance with Rule 4.L

The Company shall provide service to any accepted applicant whose application for service was previously denied solely for failure to make full payment as provided in 2.I.(2)(a)(v) above, as soon as reasonably possible, but no later than three business days, or such later time as may be specified by the applicant, after payment is made, or ten calendar days after receipt of the original application, whichever is later, except as provided in Rule 2.I.(2)(c).

The Company shall advise any applicant who submits an incomplete application, in writing and within three business days of the receipt of the application, of the information and/or documents that must be submitted in order for the application to be considered complete. Such notice shall not itself be considered a denial of the application.

(b) Former Indebtedness Paid -Non-Residential:

If a non-residential applicant or customer who is indebted to the Company attempts by some agency, relationship, or otherwise, to obtain service, the Company reserves the right to refuse service until full payment is made of all money due which are not either the subject of a pending billing dispute or of an existing deferred payment agreement that is in good standing, including:

**GENERAL INFORMATION**

2. How Service May Be Obtained: (Cont'd.)

I. Application for Service (Cont'd.)

**(2) Non-Residential (Cont'd)**

(b) Former Indebtedness Paid -Non-Residential (Cont'd):

- (i) Service provided and billed in the applicant's name or for which the applicant is legally responsible;
- (ii) other tariff fees, charges, or penalties;
- (iii) reasonably chargeable material and installation costs relating to temporary or permanent line extensions or service laterals as required by the Company's tariff, provided these costs are itemized and given to the applicant in writing;
- (iv) special services billable under the Company's tariff, provided these costs are itemized and given to the applicant in writing; and
- (v) a security deposit, if requested by the Company, as long as such deposit is in accordance with section 4.L. of this tariff.

(c) Obligation to Serve - Non-Residential:

The Company shall either provide or deny service to any applicant as soon as reasonably possible, but no later than ten calendar days after receipt of a completed application for service, except:

- (i) Where prevented by labor strikes, or other work stoppages;
- (ii) where precluded by consideration of public safety;
- (iii) where precluded by physical impediments including:
  - adverse weather conditions;
  - inability to gain access to premises in the possession of the applicant or others;
  - incomplete construction of necessary facilities by the applicant or inspection and certification thereof by the appropriate authorities; or
  - incomplete construction of necessary facilities by the utility;

The Company shall make reasonable efforts to eliminate conditions preventing extensions of service and shall pursue completion of any facilities it must construct with due diligence. The Company shall provide service to any accepted applicant whose application for service was previously denied solely for failure to make full payment as provided in Rule 2.I.(2)(c), as soon as reasonably possible, but no later than three business days, or such later time as may be specified by the applicant, after payment is made, or ten calendar days after receipt of the original application, whichever is later, except as provided above.

(d) Denial of Service Non-Residential:

The Company shall not deny an application for service except in a written notice either delivered personally to the applicant or sent to the applicant's current business address or any alternative mailing address provided in the application. The written notice of denial shall state the reason(s) for the denial and specify what the applicant must do to qualify for service. The applicant shall be advised of the right to an investigation and review of the denial by the Commission or its authorized designee if the applicant considers the denial to be without justification, and shall identify the appropriate address and telephone number of the Commission.

The Company shall advise any applicant who submits an incomplete application, in writing and within three business days after receipt of the application, of the information and/or documents that must be submitted in order for the application to be considered complete. Such notice shall not itself be considered a denial of the application.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### I. Application for Service (Cont'd.)

**(3) Limitations - Residential and Non-Residential:**

The Company's offers of electric service included in and made pursuant to the provisions of this schedule and the service classifications to which it relates, including its offers in respect to extension of facilities, are each subject to and modified by the provisions, conditions, and limitations from time to time imposed by executive or administrative rules or orders issued from time to time by the state or federal officers, commissions, boards, or bodies having jurisdiction.

**(4) Forms - Residential and Non-Residential:**

Forms of the applications, together with the schedule of rates, rules, and regulations, are made available upon request. Where more than one Service Classification is applicable, the applicant shall select a classification upon which his service will be based.

**(5) Definition - Residential Customer or Applicant:**

The term "residential customer" or "current residential customer" includes any person who, pursuant to an application for service made by such person or a third party on his or her behalf, is supplied directly with electric service at a premises used in whole or in part as his or her residence pursuant to 16 NYCRR Section 11.2(a)(2).

The term "applicant", when used in this Part, includes any person who requests electric service at a premises to be used as his or her residence or the residence of a third party on whose behalf the person is requesting service, pursuant to 16 NYCRR Section 11.2(a)(3).

**(6) Customer Consent to Contact:**

- a. By accepting electric service from the Company pursuant to the terms of this tariff, the customer hereby expressly consents to receive autodialed and prerecorded/automated calls and texts (collectively, "calls") closely related to the utility service, unless the customer opts out as described below.
- b. Such calls shall be limited to calls that warn/inform the customer about planned or unplanned service outages; provide updates about service outages or service restoration; ask for confirmation of service restoration or information about lack of service; provide notification of meter work, or other field work that affects the customer's utility service; notify customer of possible eligibility for subsidized or lower-cost services due to certain qualifiers such as, *e.g.*, age, low income or disability; or relate to handling, servicing, and billing for the customer's account. Calls may include contact from companies working on the Company's behalf to service the customer's account. Message and Data rates may apply.
- c. The customer may stop/opt out of these types of messages by contacting the Company to request removal of their phone number using the following:

**NYSEG:**

Customer Service (1-800-572-1111)

Via email to: [custserv@nyseg.com](mailto:custserv@nyseg.com)

Via regular mail to the following address: NYSEG Electric and Gas Corporation, Attention Customer Service, P.O. Box 5240, Binghamton, NY 13902-5240

PSC NO: 119 ELECTRICITY  
NEW YORK STATE ELECTRIC & GAS CORPORATION  
Initial Effective Date: 04/01/14

Leaf:: 29  
Revision: 1  
Superseding Revision: 0

### GENERAL INFORMATION

2. I. Application for Service (Cont'd)  
Form for Application for Residential Service:

Please Print		
Name _____	Account No. _____	
Address _____	Telephone No. _____	
Former Address _____	Prior Account No. _____	
Proof of		
Service	Date Requested	Date Wanted
Gas		
Electric		
Identity _____		
Proof of		
Responsibility _____		
<p>NEW YORK STATE ELECTRIC &amp; GAS CORPORATION is hereby requested to furnish the undersigned with gas or electric service, or both, as indicated at the above address. Such service to be supplied by the Company under its rules, regulations and general schedules as filed from time to time with the Public Service Commission and available for inspection at the office of the Company and to be paid for by the undersigned in accordance with service classification applicable.</p>		
Applicant _____		Date _____
Third Party Applicant _____		Date _____
Application Received by _____		Date Orders Issued _____
CD39-K 7 82		
Name _____ Account No. _____		

REASON FOR WRITTEN APPLICATION	
Unpaid Arrears-Disconnected for Non-Payment	✓
Unpaid Arrears - Subject to Final Disconnect Notice	
Evidence of Meter Tampering	
Evidence of Theft of Service	
Meter Advanced - No Customer of Record	
Third Party Application	

Issued by: James A. Lahtinen, Vice President – Rates & Regulatory Economics, Binghamton, NY

## GENERAL INFORMATION

2. I. Application for Service (Cont'd)  
Form of Application for Non-Residential Service.

Dear (customer name):

Thank you for applying to NYSEG for service. Based on the information you provided, we have placed you on a **non-residential** service classification and rate. Please complete, sign and return the enclosed service application and we will gladly provide utility service to your business. A postage-paid return envelope is enclosed for your convenience.

Each service classification has eligibility requirements and you may be eligible for service under more than one classification. Likewise, your cost of service may vary under different service classifications, and one classification may be more beneficial than another. **Please note that you are responsible for the initial and ongoing selection of the most advantageous rate classification for which you qualify.**

The enclosed rate summary(ies) will help you compare our residential and non-residential service classifications and rates. If you feel you qualify for a residential rate, please contact us right away. Also, if this account is being used by a veterans or religious organization or as a group home, please note that section of the Application, as it may save you money. A more detailed description of our service classifications and rates may be examined in our filed tariff, available at all NYSEG Customer Service Centers.

Should your energy load change in the future, it's important that you notify us so we can determine if changes are needed to our service facilities or to your service classification and rate. Please note that, under our tariff, if you do not contact us or if the information you provide is inaccurate or incomplete, you may not be eligible for a refund for incorrect charges. Likewise, you may be subject to additional charges for under billing.

If you need help with this application or have any questions, please call our Customer Service Call Center at 1-800-572-1111, Monday through Friday, from 7 a.m. to 7 p.m. If your concern involves your rate selection, we'll make arrangements for one of our rate experts to contact you.

We sincerely appreciate your business.

Sincerely,

Representative's signature, name and title

enclosures (maximum):

Rate Card(s)  
Non-Res. Application for Service  
Postage paid return envelope

Issued by: James A. Lahtinen, Vice President – Rates & Regulatory Economics, Binghamton, NY

## GENERAL INFORMATION

2. I. Application for Service (Cont'd)  
Form of Application for Non-Residential Service (Cont'd).



### Application For Non-Residential Electric & Gas Service (page 1 of 2) Customer Information

Name (please print): \_\_\_\_\_ Phone (Day) #: \_\_\_\_\_

Business Name: \_\_\_\_\_ Phone (Other) #: \_\_\_\_\_

Employer Tax ID #: \_\_\_\_\_ Social Security #: \_\_\_\_\_

Service Address: Street: \_\_\_\_\_ State: \_\_\_\_\_

City: \_\_\_\_\_ Zip: \_\_\_\_\_

Billing & Mailing Address: Street: \_\_\_\_\_ State: \_\_\_\_\_

City: \_\_\_\_\_ Zip: \_\_\_\_\_

Doing Business as a: (check all that apply): ☐ DBA ☐ Corporation: Publicly Owned ☐ Privately Owned ☐

☐ Partnership ☐ Governmental  
SIC Code (if known) \_\_\_\_\_ ☐ Individual ☐ Other (explain)

Is your business/organization tax exempt? \_\_\_\_\_ If yes, do you have a certificate on file with NYSEG? \_\_\_\_\_

Will the nature of your business be different from that of previous owner/tenant? \_\_\_\_\_

What is the nature of your business or type of service that you provide? \_\_\_\_\_

Access to Service Meters shall be: ☐ Open to Utility Personnel ☐ Controlled by above named Company  
☐ Controlled by Other (Provide name & address below)

Name: \_\_\_\_\_ City: \_\_\_\_\_

Street: \_\_\_\_\_ State/Zip: \_\_\_\_\_

Phone (Day) #: \_\_\_\_\_ Phone (Other) #: \_\_\_\_\_

Applicant's Rate Selection (Service Classification):

Electric: \_\_\_\_\_

Gas (Class): \_\_\_\_\_

Gas (Type): Sales or Transportation

For NYSEG Use Only		Waived? (yes or no)
Deposit Amount (Electric):		
Deposit Amount (Gas):		
Division:	Acct#	
Tax-Exempt Certificate Received?		
Application Mailed by:		Date:
Application Received by:		Date:

Remarks: \_\_\_\_\_

#### TO BE COMPLETED BY RELIGIOUS AND VETERANS' ORGANIZATIONS AND COMMUNITY RESIDENCES

The Public Service Law, Section 76, permits corporations or associations organized and conducted for religious or veterans' purposes and certain community residences to receive electric and natural gas service at residential rates. If you meet one of the following criteria, you have the option of being billed under residential or non-residential rates.

I am eligible for residential rates because: (please check one, if appropriate)

☐ The premises will be used solely by the religious organization that is applying for service; no part will be leased or subleased to another

AND activities will be conducted primarily for religious purposes.

☐ The premises will be used as a post or hall owned or leased by a not-for-profit corporation that is a veterans' organization.

☐ The premises will be used as a community residence occupied as a supervised or supportive living facility (as defined by Mental Hygiene Law, Section 1.03, subdivisions 28-a and 28-b); the residence will provide living accommodations for 14 or fewer residents, AND will be operated by a not-for-profit corporation.

To qualify for residential rates, you must attach documentation of your eligibility for the rates. If you submit documentation to NYSEG at a later date, the account may qualify for residential rates as of the date NYSEG receives it. If NYSEG denies you residential rates, you may request, in writing, that we inspect the premises and review the rate determination in light of the information obtained from the inspection. You may also appeal the rate classification to the Public Service Commission.

CD-RS-391

### GENERAL INFORMATION

2. I. Application for Service (Cont'd)  
Form for Application for Non-Residential Service (Cont'd).

#### Application For Non-Residential Electric & Gas Service (page 2 of 2) Electric Service and Equipment Information

\*\*If you are just changing the name on the account and not making any equipment changes in this facility,  
please check here \_\_\_\_\_ and go to the Gas Service section below.

##### Service Information

Item	Existing	New
Voltage		
Single or Three Phase Service *		
Building Size (sq. ft.):		
Service Size (Amps):		

\*Customer agrees to purchase NYSEG's electric service for at least ONE YEAR if it is Three Phase Service

Date Temporary Service Needed: \_\_\_\_\_

Date Permanent Service Needed: \_\_\_\_\_

##### Contact Information

Consultant: \_\_\_\_\_

Phone #: \_\_\_\_\_

Electrician: \_\_\_\_\_

Phone #: \_\_\_\_\_

##### Electric Equipment Information (please provide if known)

Load Information Equipment to be Installed	Connected kW	Annual kW
Lighting:		
Motors, Manufacturing Equip., etc.:		
Controlled Load:		
Space Heat:		
Air Conditioning:		
Other (ie: Refrigeration, cooking, etc)		
Totals:		

Existing High Demand(KW): \_\_\_\_\_ Summer  
\_\_\_\_\_ Winter

Estimated New High Demand(KW) \_\_\_\_\_ Summer  
\_\_\_\_\_ Winter

Largest Motor Size \_\_\_\_\_ Horsepower  
Welder Size \_\_\_\_\_ KVA

#### Gas Service and Equipment Information

\*\*If you are just changing the name on the account and not making any equipment changes in this facility,  
please check here \_\_\_\_\_ and go to the Statement of Understanding below.

##### Service Information (please provide if known)

##### Load Information - New Load (please provide if known)

Load Information	Input BTUH
Heating Equipment:	
Hot Water:	
Cooking Equipment:	
Other:	
Totals:	

Date Service Line is Needed: \_\_\_\_\_

Date Gas Meter is Needed: \_\_\_\_\_

Equipment in Service Date: \_\_\_\_\_

##### Contact Information

Name: \_\_\_\_\_

Phone#: \_\_\_\_\_

Building Size: \_\_\_\_\_ Existing Sq. Feet  
\_\_\_\_\_ New Sq. Feet

Requested Delivery Pressure: \_\_\_\_\_

Future Load? (if yes, please explain below)  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

#### Statement of Understanding

Electric and/or gas service supplied under this application will be taken and paid for by the undersigned in accordance with the rules and regulations and at the rates contained in the Company's tariffs and schedules as filed from time to time with the Public Service Commission of the State of New York.

Print Applicant Name: \_\_\_\_\_

Applicant Signature: \_\_\_\_\_ Title: \_\_\_\_\_ Date: \_\_\_\_\_

Affiliation to Name or Business On: \_\_\_\_\_ Owner \_\_\_\_\_ Corporate Officer \_\_\_\_\_ Other (Explain) \_\_\_\_\_

Account Named On Page One: \_\_\_\_\_ Partner \_\_\_\_\_ Agent \_\_\_\_\_

CD-942, 9/01

ISSUED BY: Joseph J. Syta, Vice President, Controller and Treasurer, Binghamton, New York

## GENERAL INFORMATION

2. I. Application for Service (Cont'd)  
Form of Application and Contract for Outdoor Lighting Service:

### Application and Contract for Outdoor Lighting Service

THE UNDERSIGNED (hereinafter called "Customer") hereby applies to NEW YORK STATE ELECTRIC & GAS CORPORATION (hereinafter called "Company") to supply the following outdoor lighting service at the following location:

\_\_\_\_\_

The term of this contract shall be for a period of \_\_\_\_ years commencing on \_\_\_\_\_, (or as soon thereafter as service is made available) and shall continue subsequent to that period until cancelled on 5 days prior written notice to Company.

___ Light(s)	Wattage _____	Type: <input type="checkbox"/> HPS <input type="checkbox"/> MH	Style: <input type="checkbox"/> Area <input type="checkbox"/> Flood <input type="checkbox"/> Shoebox <input type="checkbox"/> Post-top <input type="checkbox"/> Power Bracket
<input type="checkbox"/> Bracket	Length _____		
___ Light(s)	Wattage _____	Type: <input type="checkbox"/> HPS <input type="checkbox"/> MH	Style: <input type="checkbox"/> Area <input type="checkbox"/> Flood <input type="checkbox"/> Shoebox <input type="checkbox"/> Post-top <input type="checkbox"/> Power Bracket
<input type="checkbox"/> Bracket	Length _____		
___ Light(s)	Wattage _____	Type: <input type="checkbox"/> HPS <input type="checkbox"/> MH	Style: <input type="checkbox"/> Area <input type="checkbox"/> Flood <input type="checkbox"/> Shoebox <input type="checkbox"/> Post-top <input type="checkbox"/> Power Bracket
<input type="checkbox"/> Bracket	Length _____		
___ Light(s)	Wattage _____	Type: <input type="checkbox"/> HPS <input type="checkbox"/> MH	Style: <input type="checkbox"/> Area <input type="checkbox"/> Flood <input type="checkbox"/> Shoebox <input type="checkbox"/> Post-top <input type="checkbox"/> Power Bracket
<input type="checkbox"/> Bracket	Length _____		
___ Light(s)	Wattage _____	Type: <input type="checkbox"/> HPS <input type="checkbox"/> MH	Style: <input type="checkbox"/> Area <input type="checkbox"/> Flood <input type="checkbox"/> Shoebox <input type="checkbox"/> Post-top <input type="checkbox"/> Power Bracket
<input type="checkbox"/> Bracket	Length _____		
<input type="checkbox"/> Wire	Length _____		
<input type="checkbox"/> Pole	Number _____	Type _____	
<input type="checkbox"/> Other	_____		

Electric service supplied under this application/contract will be taken by the undersigned in accordance with the rules and regulations contained in the Company's filed tariff and schedules and will be paid for at the rates in P.S.C. 120, Service Classification No. 5, or superseding issues thereof, as filed with the Public Service Commission of the State of New York.

The Customer approves the general plan of installation and construction to supply the lighting requested in this application/contract and gives permission to the Company to install, maintain and remove its fixtures, poles and wire used in furnishing such service which will be located on Customer property.

Customer: \_\_\_\_\_

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

Accepted:  
NEW YORK STATE ELECTRIC & GAS CORPORATION

By: \_\_\_\_\_ Date: \_\_\_\_\_

#### Company Use Only

Cont. Acct. # \_\_\_\_\_

ODL Order \_\_\_\_\_

☐ New

☐ Existing

☐ Additional

☐ Change

☐ Transfer

Issued by: James A. Lahtinen, Vice President – Rates & Regulatory Economics, Binghamton, NY



## GENERAL INFORMATION

### 2. I. Application for Service (Cont'd)

Form of Application Where Extension Plan is Applicable:

#### APPLICATION FOR ELECTRIC SERVICE (Where Extension Plan is Applicable)

Date \_\_\_\_\_  
Deposit No. \_\_\_\_\_ Amount \_\_\_\_\_  
Rate No. \_\_\_\_\_

The undersigned hereby applies to the New York State Electric & Gas Corporation (Corporation) for electric service at the premises located at

\_\_\_\_\_  
\_\_\_\_\_.

The undersigned, successors and assigns, agrees to use and pay for such service in accordance with all of the rules and regulations and applicable schedules for electric service on file with the Public Service Commission and available for inspection in the offices of the Corporation including the paragraphs of the General Information Schedule, P.S.C. No. 119 - Electricity, or superseding issues thereof, pertaining to the Corporation's line extension plan. Such plan provides for the applicant to pay the costs of the portion of the line extension that exceeds the allowances to which each applicant is entitled without charge. Such costs can be paid either through a lump sum payment or repayment plan, with interest, over a ten-year period. Included in these costs are the costs associated with the procurement of right-of-way where such inclusion is requested by the applicant.

#### Cost of Facilities

	<u>Distribution</u>	<u>Service</u>
Total	_____	_____
Less Allowance	_____	_____
Excess Cost	_____	_____

Deposit Amount \$ \_\_\_\_\_

Total Excess Cost to Applicant = LUMP SUM = \_\_\_\_\_

The Applicant will pay; Lump Sum [ ], or Payment Plan [ ]

The applicant understands that if the payment plan is selected, the applicant can, at any time, make a request for conversion to a lump sum payment in order to pay surcharge off early.

### GENERAL INFORMATION

2. I. Application for Service (Cont'd)  
Form of Application Where Extension Plan is Applicable: (Cont'd)

Monthly Payment amount under Payment Plan \$ \_\_\_\_\_

The applicant understands this monthly payment will be in addition to payment each billing period of the Corporation's normal charges for utility services.

**THE APPLICANT HEREBY AGREES TO INFORM ALL PROSPECTIVE PURCHASERS OF THIS PROPERTY THAT A UTILITY SURCHARGE IS IN EFFECT.**

Total amount paid, including interest (120 total payments) \_\_\_\_\_

Annual Interest Rate \_\_\_\_\_

Capital Recovery Factor \_\_\_\_\_

The monthly payment shall be calculated as follows:

Monthly Payment = (L X R)

R = Monthly capital recovery factor =  $I \div (1 - (1 + I)^{-120})$

L = Total cost of excess facilities including appropriate right-of-way costs if requested by the applicant, less security payment

I =  $C \div 12$

C = Corporation's weighted pre-tax cost of capital as established in its most recent rate proceeding

The applicant understands that in the event a new applicant(s) takes service from the distribution line within ten years of line being available for use for which the excess costs have been determined, the surcharge payment will be recalculated (in accordance with the Corporation's tariff) and any resulting refund related to the distribution portion of the excess costs will be returned to the current owner of the facility which was originally served by the extension.

The applicant also understands that the surcharge will cease if, in each of any two consecutive calendar years within 10 years of the initial applicant's taking service from the extension, total revenue from all customers served by a new distribution line exceeds 1.5 times the reasonable actual capital costs of the total distribution line.

The Applicant understands that the line(s) will not be built until all applicants have complied with all requirements for service.

Applicant Signature \_\_\_\_\_ Date \_\_\_\_\_

Accepted by Corporation \_\_\_\_\_ Date \_\_\_\_\_

### GENERAL INFORMATION

2. I. Application for Service (Cont'd)

Form of Agreement where customer makes contribution in accordance with Paragraph 2F:

Unusual Conditions and Increased Loads

In consideration of the fact that the undersigned (customer) wishes to have available new or increased facilities in order to obtain electric service or to increase the amount of service now taken, the undersigned agrees to contribute to the Corporation, before the commencement of the work to install such facilities, the sum of \$\_\_\_\_\_ to defray the expense of such facilities, which sum the Corporation agrees to repay by crediting to the account of the customer sums equal to \_\_\_\_\_% of the monthly bills paid in excess of \$\_\_\_\_\_ per month for all electric service supplied to the customer at the premises served through the use of the facilities to be furnished or constructed by the Corporation until such time as all of the contribution made by the customer shall be returned, provided, however, that irrespective of whether or not the full contribution has been returned, all repayments hereunder shall cease at the expiration of ten years from the date of acceptance hereof. It is understood and agreed that the facilities so constructed will be and remain the property of the Corporation. The customer or the Corporation may apply to the Public Service Commission for a ruling as to the necessity for and reasonableness of the contribution required.

Name of Customer: \_\_\_\_\_

Date: \_\_\_\_\_

Accepted NEW YORK STATE ELECTRIC  
& GAS CORPORATION

Pres. / V-Pres./ Gen. Mgr.: \_\_\_\_\_

Date: \_\_\_\_\_

### GENERAL INFORMATION

2. I. Application and Contract: (Cont'd)  
Builder-Developer form of Application and Contract for underground residential distribution system:

#### APPLICATION AND CONTRACT FOR UNDERGROUND RESIDENTIAL DISTRIBUTION SYSTEM (BUILDER-DEVELOPER)

THE UNDERSIGNED, (hereinafter called "Applicant") hereby applies to NEW YORK STATE ELECTRIC & GAS CORPORATION (hereinafter called "Corporation") to have the Corporation furnish an underground electric distribution system for permanent residential service in a subdivision known as \_\_\_\_\_

\_\_\_\_\_ and consisting of \_\_\_\_\_ dwelling units or building sites situated in the \_\_\_\_\_ of \_\_\_\_\_, County of \_\_\_\_\_.

Upon acceptance of this application, the Corporation agrees to:

1. Install underground electric distribution lines of sufficient capacity, along with such appurtenant devices, equipment and materials which shall in the judgment of the Corporation, provide safe and adequate permanent electric service.
2. Install underground service laterals at the Applicant's expense as the Applicant may elect.
3. Own, operate and maintain the distribution system and with the Applicant's consent, the Corporation will also own, operate and maintain the service lateral on private property.

The Applicant agrees that before the Corporation shall be obligated to make such installations, the Applicant shall:

1. Furnish a survey map approved by all governmental authorities having jurisdiction, and certified to by a licensed professional engineer or land surveyor and certified as final by the Applicant showing the location of each lot, sidewalk and roadway and, prior to and during construction by the Corporation, shall place and maintain survey stakes indicating grade and property lines. A map showing the location of all other existing and proposed underground facilities shall be furnished to the Corporation by the Applicant as soon as the location of such facilities shall be known, but prior to the installation of the underground electric distribution facilities.
2. Execute and deliver to the Corporation free from cost, suitable permanent easements or rights-of-way insofar as the initial installation or subsequent additions thereto affect the property owned by the Applicant for placing and maintaining said distribution facilities or agree to pay a surcharge of 12% per annum of such costs as may be incurred by the Corporation if at the Applicant's request it obtains such easements or rights-of-way.

### GENERAL INFORMATION

2. I. Application and Contract: (Cont'd)

Builder-Developer form of Application and /Contract for underground residential distribution system:  
(Cont'd)

3. Clear rights-of-way and easements of tree stumps, brush and obstructions at no charge to the Corporation, and grade to within six inches of final grade. Such clearance and grading must be maintained by the Applicant during construction by the Corporation. Restoration of top surface after construction shall be by the Applicant at the Applicant's expense. All sewers, water facilities and drainage facilities will be installed before the Corporation commences construction.

4. Make a non-refundable contribution of \$\_\_\_\_\_, plus applicable sales tax of \$\_\_\_\_\_ based on distribution trench footage within the subdivision in excess of 60 feet per dwelling unit. The contribution is based upon the number of dwelling units to be served from the distribution system, as indicated on the map furnished under Paragraph 1 above. If additional dwelling units are constructed and take service within the subdivision, the contribution will be recalculated as provided by Section 2.C.4 of P.S.C. No. 119 - Rules, Regulations and General Information Schedule for Electric Service.

5. Make a deposit in the amount of \$\_\_\_\_\_ based on the incremental cost above the equivalent cost for overhead facilities. This deposit is based on the footage for which a contribution is not required, and shall be returned to the Applicant quarterly, with interest, on a pro rata basis as each new customer is connected with service.

Any portion of the deposit remaining unrefunded five years from the date the Corporation is first ready to render service from the underground electric distribution lines shall be retained by the Corporation. Upon the mutual agreement of both the Corporation and the Applicant, a bond or letter of credit may be posted in lieu of any deposit.

6. Pay the Corporation for the cost of that portion of the service lateral on the Applicant's property if he elects to have the Corporation make this installation.

7. Upon demand, reimburse the Corporation for costs incurred in the replacement or relocation of Corporate facilities caused by subsequent changes in Applicant's plans, if any.

The above deposits and non-refundable contributions are based upon the applicant's plans as of this date and the Corporation's costs as set forth in P.S. C. 119. The deposit and contribution will be subject to change based on the changes in cost reflected in P.S. C. 119 and changes in the applicant's plans as of the date the facilities are constructed. Changes, if any, will be reflected in a revised agreement.

This is an application to have the Corporation furnish an underground distribution system, not an application for electric service. It is understood that the Corporation shall have no obligation to render service by means of such system unless and until the Applicant shall have executed, and the Corporation shall have accepted, an application for service and the Applicant shall have fulfilled his obligations hereunder and otherwise compiled with the Corporation's Rules, Regulations and General Information Schedule for Electric Service, P.S.C. 119.

**GENERAL INFORMATION**

2. I. Application and Contract: (Cont'd)  
Builder-Developer form of Application and Contract for underground residential distribution system:  
(Cont'd.)

Notwithstanding the obligations specified herein, the Applicant shall be entitled to the benefit of any pertinent regulations, order or directive of the Public Service Commission of the State of New York which is intended to be effective as of the date of this application.

The terms and conditions herein shall bind the parties hereto, and their respective heirs, executors, administrators and assigns.

The applicant shall not assign this agreement without first obtaining the written consent of the Corporation, which consent shall not be unreasonably withheld.

\_\_\_\_\_  
Applicant

By \_\_\_\_\_

Date \_\_\_\_\_

ACCEPTED:  
NEW YORK STATE ELECTRIC & GAS CORPORATION

By \_\_\_\_\_  
Date \_\_\_\_\_

### GENERAL INFORMATION

2. I. Application and Contract: (Cont'd)  
Land Developer form of Application and Contract for underground residential distribution system:

#### APPLICATION AND CONTRACT FOR UNDERGROUND RESIDENTIAL DISTRIBUTION SYSTEM (LAND DEVELOPER)

THE UNDERSIGNED, (hereinafter called "Applicant") hereby applies to NEW YORK STATE ELECTRIC & GAS CORPORATION (hereinafter called "Corporation") to have the Corporation furnish an underground electric distribution system for permanent residential service in a subdivision known as \_\_\_\_\_

\_\_\_\_\_ and consisting of \_\_\_\_\_ building sites situated in the  
\_\_\_\_\_ of \_\_\_\_\_, County of \_\_\_\_\_.

Upon acceptance of this application, the Corporation agrees to:

1. Install underground electric distribution lines of sufficient capacity, along with such appurtenant devices, equipment and materials which shall in the judgment of the Corporation, provide safe and adequate permanent electric service.
2. Install underground service laterals at the Applicant's expense as the Applicant may elect.
3. Own, operate and maintain the distribution system and with the Applicant's consent, the Corporation will also own, operate and maintain the service lateral on private property.

The applicant agrees that before the Corporation shall be obligated to make such installations, the Applicant shall:

1. Furnish a survey map approved by all governmental authorities having jurisdiction, and certified to by a licensed professional engineer or land surveyor and certified as final by the Applicant showing the location of each lot, sidewalk and roadway and, prior to and during construction by the Corporation, shall place and maintain survey stakes indicating grade and property lines. A map showing the location of all other existing and proposed underground facilities shall be furnished to the Corporation by the Applicant as soon as the location of such facilities shall be known, but prior to the installation of the underground electric distribution facilities.
2. Execute and deliver to the Corporation free from cost, suitable permanent easements or rights-of-way insofar as the initial installation or subsequent additions thereto affect the property owned by the Applicant for placing and maintaining said distribution facilities or agree to pay a surcharge of 12% per annum of such costs as may be incurred by the Corporation if at the Applicant's request it obtains such easements or rights-of-way.

### GENERAL INFORMATION

2. I. Application and Contract: (Cont'd)

Land Developer form of Application and Contract for underground residential distribution system:  
(Cont'd.)

3. Clear rights-of-way and easements of tree stumps, brush and obstructions at no charge to the Corporation, and grade to within six inches of the final grade. Such clearance and grading must be maintained by the Applicant during construction by the Corporation. Restoration of top surface after construction shall be by the Applicant at the Applicant's expense. All sewers, water facilities and drainage facilities will be installed before the Corporation commences construction.

4. Make a contribution of \$\_\_\_\_\_, plus applicable sales tax of \$\_\_\_\_\_ based on distribution trench footage required to serve this subdivision. As dwelling units are constructed and take service within the subdivision, the contribution will be recalculated as provided by Section 2.C.4 of P.S.C. No. 119 - Rules, Regulations and General Information Schedule for Electric Service. The difference between the contribution set forth and the contribution as recalculated will be refunded to the Applicant quarterly. Any portion of the contribution remaining unrefunded ten years from the date the Corporation is first ready to render service from the underground electric distribution lines shall be retained by the Corporation.

5. Pay the Corporation for the cost of that portion of the service lateral on the Applicant's property if he elects to have the Corporation make this installation.

6. Upon demand, reimburse the Corporation for costs incurred in the replacement or relocation of Corporate facilities caused by subsequent changes in the Applicant's plans, if any.

The above contribution is based upon the Applicant's plans as of this date and the Corporation's costs as set forth in P.S. C. 119. The contribution will be subject to change based on the changes in cost reflected in P.S.C. 119 and changes in the Applicant's plans as of the date the facilities are constructed. Changes, if any, will be reflected in a revised agreement.

This is an application to have the Corporation furnish an underground distribution system, not an application for electric service. It is understood that the Corporation shall have no obligation to render service by means of such system unless and until the Applicant shall have executed, and the Corporation shall have accepted, an application for service and the Applicant shall have fulfilled his obligations hereunder and otherwise complied with the Corporation's Rules, Regulations and General Information Schedule for Electric Service, P.S.C. No. 119.



**GENERAL INFORMATION**

2. I. Application and Contract: (Cont'd)  
Land Developer form of Application and Contract for underground residential distribution system:  
(Cont'd.)

Notwithstanding the obligations specified herein, the Applicant shall be entitled to the benefit of any pertinent regulations, order or directive of the Public Service Commission of the State of New York which is intended to be effective as of the date of this application.

The terms and conditions herein shall bind the parties hereto, and their respective heirs, executors, administrators and assigns.

The applicant shall not assign this agreement without first obtaining the written consent of the Corporation, which consent shall not be unreasonably withheld.

\_\_\_\_\_  
Applicant

By \_\_\_\_\_

Date \_\_\_\_\_

ACCEPTED:

NEW YORK STATE ELECTRIC & GAS CORPORATION

By \_\_\_\_\_

Date \_\_\_\_\_

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### J. Inspection

Before service is supplied at any location, a Certificate of approval from a competent inspection body, and/or any legally constituted authorities having jurisdiction, must be furnished by each applicant. The customer's electric equipment must be maintained according to the rules of the National Electric Code, and the rules and regulations of the Company. If additional wiring or equipment is installed on such premises, the customer shall notify the Company, before its connection to the Company's service, and secure approval as indicated above.

As a guide for the applicant or the electrical contractor regarding an installation, the Company has prepared "Requirements for the Installation of Electric Services and Meters", copies of which are on file at the local offices. As issued and as modified from time to time, these specifications will be enforced.

#### K. Minimum Insulation Standards for the Provision of Electric Service:

##### 1. Definitions:

For the purpose of this rule, the following definitions shall apply:

- a) "Dwelling" - A building designed or used as the living unit for one or more families. Mobile homes shall not be considered dwellings.
- b) "Historical Building" - Any building or structure designated historically significant by the State or local governing body, or listed (or determined by the Secretary of the Interior to be eligible to be listed) in "The National Register of Historic Places."

##### 2. Applicability and Compliance for New Dwellings:

All new dwellings will not be eligible for electric service unless these dwellings comply with the New York State Energy Conservation Construction Code. Compliance with this Code will be satisfied under any of the following circumstances:

- a) A building permit is obtained for the dwelling from a building code authority or similar authority empowered by local law to issue building permits; or,
- b) An affirmation is given by the contractor or builder on a certificate of compliance (see Rule 2.K.11.a) that the construction of the dwelling will comply with the Energy Conservation Construction Code within 30 days after occupancy; or,
- c) A modification or variance from the requirements of the Energy Conservation Construction Code is issued by the State Board of Review as constituted pursuant to the Executive Law.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### K. Minimum Insulation Standards for the Provision of Electric Service: (Cont'd.)

##### 2. Applicability and Compliance for New Dwellings: (Cont'd.)

For any dwelling constructed after April 1, 1977, but before January 1, 1979, electric service will not be provided without compliance with the Minimum Insulation Standards promulgated by the Commission in Opinion 77-10 (Case 26286, November 2, 1977) as amended.

##### 3. Waivers:

For any dwelling constructed after April 1, 1977, but before January 1, 1979, a waiver from these requirements may be granted by:

- a) The Company when the overall heat loss for the building envelope does not exceed the total heat loss which would result from conformance to the individual requirements. The heat loss calculations shall be certified by a licensed engineer or architect.
- b) The Company, if the applicant for service can establish through two estimates, one of which may be a Company audit, that the purchase price and installation charge (excluding financing charges) will be greater than seven times the anticipated annual savings to be obtained, (based on the present cost of the fuel currently used in the dwelling).
- c) The Public Service Commission for just cause, in unusual circumstances, if the applicant for electric service has been denied a waiver pursuant to subsections (a) or (b) above.

A copy of each waiver granted or denied shall be made available to the Commission, and each applicant denied a waiver shall be promptly informed by the Company of the right to appeal to the Commission.

##### 4. Certificate of Compliance:

A Certificate of Compliance (see Rule 2.K.11.a), shall be used in all areas of the State where no local authority exists, to assure compliance with the insulation requirements of the Energy Conservation Construction Code.

Each Certificate of Compliance shall be signed by the builder or contractor and the owner shall receive a copy of such certificate.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### K. Minimum Insulation Standards for the Provision of Electric Service: (Cont'd.)

##### 5. Compliance Procedures:

In areas where there is no local building code authority, upon a complaint by a dwelling owner or tenant concerning non-compliance with the provisions of Rule 2.K.2., the Company shall perform an on-site inspection to determine conformance with the standards concerning roofs, walls, foundation walls, floors, windows, and doors. The result of this inspection shall be provided in writing to the owner (and tenant when applicable) of the dwelling.

Whenever the Company finds, as a result of such inspection or notification by the local building code authority, more than one outstanding complaint against any particular contractor wherein a dwelling constructed by such contractor or builder was found to be in non-compliance with the applicable standards, the Company shall refuse to provide electric service to any construction site of that contractor or builder until all existing violations are corrected. The Company shall undertake random inspections of the future construction work of a past non-complying contractor or builder until such time as the Company is satisfied that the applicable standards are being met.

##### 6. Penalties for Non-Compliance:

In the event the Company finds that any dwelling fails to comply with Rule 2.K.2.a or 2.K.2.b, the Company shall impose a 25% surcharge on any bill for electric service to the customer until such violations are corrected.

The effective date of the surcharge rate shall be:

- a) Immediately after notice, in the event the owner is directly responsible for the noncompliance.
- b) Ninety days after notice, in the event the owner has not contributed to the deficiencies. No surcharge shall be applied if the owner brings the dwelling into compliance within 90 days.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### K. Minimum Insulation Standards for the Provision of Electric Service: (Cont'd.)

##### 6. Penalties for Non-Compliance: (Cont'd.)

In the event the owner is not billed for the provision of electric service, no surcharges will be applied to the bills of the non-owner occupants of the dwelling. Instead, after notification to the owner that the dwelling is not in compliance, a surcharge will be billed to the owner. The surcharge will be 25 percent of the electric bills for the dwelling that is not in compliance.

In the event that circumstances prevent collecting the surcharge amount from the owner of the non-complying dwelling, the Company may refuse future connections for service to new tenants in the dwelling until it is brought into compliance.

Furthermore, if the owner is an occupant of the dwelling, but is not billed for any electric service, the surcharge will be imposed on the bill for service to the unit occupied by the owner.

##### 7. Applicability and Conditions for Existing Dwellings Converting to Electric Space Heat:

An existing dwelling will not be supplied electric service for the purpose of converting to electric space heat unless:

- a) The roof/ceiling has at least six inches of insulation or insulation with an R value of 19 or greater,
- b) The dwelling has storm windows, or thermal windows with multiple glazing, and
- c) The entrances have storm doors or thermal doors.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### K. Minimum Insulation Standards for the Provision of Electric Service: (Cont'd.)

##### 8. Waivers:

The Company may waive the requirements in Rule 2.K.7. where:

- a) The applicant for service can establish through two estimates, one of which may be a Company audit, that the purchase price and installation charge (excluding interest charges) will be greater than seven times the anticipated annual savings to be obtained (based on the present cost of the fuel currently used in the dwelling).
- b) The dwelling is an historical building, or
- c) Other measures have been taken so that the overall heat loss for the dwelling envelope does not exceed the total heat loss which would result from conformance with the minimum requirements of Rule 2.K.7. Such a heat loss calculation must be certified by a licensed architect or engineer.

In the case of a dwelling having a flat roof, compliance with the roof insulation standard will not be required if four or more inches of insulation are already in place or if insulation can be installed only by means of cutting an opening in the roof.

In the case of a dwelling having six or more stories storm windows will not be required as long as the Company certifies that the dwelling's windows are caulked and weatherstripped. This certification shall be made in writing to the Commission. A storm window will not be required on any window opening onto a fire escape.

Copies of waivers granted or denied by the Company shall be made available to the Commission. Applicants denied waivers shall be informed of their right to appeal that denial to the Commission.

The Commission may grant a waiver of the requirements of Rule 2.K.7 for just cause after an applicant for electric service has been denied a waiver by the Company.

## GENERAL INFORMATION

### 2. How Service May Be Obtained: (Cont'd.)

#### K. Minimum Insulation Standards for the Provision of Electric Service: (Cont'd.)

##### 9. Certificate of Compliance:

A dwelling's compliance with Rule 2.K.7 shall be certified either by (i) the owner, (ii) a contractor of the owner's choice who has inspected the dwelling, or (iii) a Company representative who has inspected the dwelling at the owner's request (See Rule 2.K.11.b).

The Company will provide the Certificate of Compliance to the applicant at the time of application for service, so that the applicant will be apprised of the requirements for service and the methods by which compliance can be certified.

##### 10. Penalties for Non-compliance:

The Company shall impose a 25 percent surcharge on any bill for electric service to any dwelling which has converted to electric space heat and which does not comply with the standards set forth in Rule 2.K.7.

The effective date of the surcharge rate shall be:

- a) Immediately after notice, in the event the owner is directly responsible for the non-compliance.
- b) Ninety days after notice, in the event the owner has not contributed to the deficiencies. No surcharge shall be applied if the owner brings the dwelling into compliance within 90 days.

In the event the owner is not billed for the provision of electric service, no surcharges will be applied to the bills of the non-owner occupants of the dwelling. Instead, after notification to the owner that the dwelling is not in compliance, a surcharge will be billed to the owner. The surcharge will be 25 percent of the electric bills for the dwelling that is not in compliance.

In the event that circumstances prevent collecting the surcharge amount from the owner of the non-complying dwelling, the Company may refuse future connections for service to new tenants in the dwelling until it is brought into compliance.

Furthermore, if the owner is an occupant of the dwelling, but is not billed for any electric service the surcharge will be imposed on the bill for service to the unit occupied by the owner.

**GENERAL INFORMATION**

2. How Service May Be Obtained: (Cont'd.)

K. Minimum Insulation Standards for the Provisions of Electric Service: (Cont'd.)

11. Certificate of Compliance:

a) New Dwellings Residential Construction

NEW YORK STATE ELECTRIC & GAS CORPORATION  
Certificate of Compliance  
New Residential Construction

DESCRIPTION OF STRUCTURE (Check as applicable)

PRIMARY HEATING SOURCE

\_\_\_\_ 1 or 2 Family Residence  
\_\_\_\_ 3 or More Residences (\_\_\_\_ Units)

\_\_\_\_ Electricity  
\_\_\_\_ Natural Gas  
\_\_\_\_ Other

LOCATION:

Number

Street

Township

Post Office

State

Zip Code

OWNER'S NAME: \_\_\_\_\_ Acct. No. \_\_\_\_\_  
(Office use only)

The undersigned (Builder/Contractor) certifies that the structure identified above is or will be, not later than 30 days after time of occupancy, in compliance with one of the following statute provisions (check one):

- \_\_\_\_ Part 1:E101.6      Part 1, 3, 4 and 5 are all part of the  
\_\_\_\_ Part 3              New York State Energy Conservation  
\_\_\_\_ Part 4:E402.0      Construction Code  
\_\_\_\_ Part 5:E502.0  
\_\_\_\_ Appendix A, Option 77-10, Minimum Insulation Standards New York State  
Public Service Commission (applies only to dwellings on which construction  
began between April 1, 1977 and January 1, 1979).

It is understood that electric and/or gas service will, depending on the applicable circumstances, not be connected, be subject to a 25 percent surcharge on the NYSEG utility bill until all violations are eliminated, or be disconnected, if, upon inspection the structure is found not to be in compliance with the conditions set forth above.

The undersigned certifies that a properly executed copy of this certificate will be delivered to the owner prior to closing of sale and further attests that all statements and representations contained in this certificate are true and accurate.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Builder or Contractor

Issued by: James A. Lahtinen, Vice President – Rates & Regulatory Economics, Binghamton, NY



### GENERAL INFORMATION

2. How Service May Be Obtained: (Cont'd.)  
K. Minimum Insulation Standards for the Provision of Electric Service: (Cont'd.)  
11. Certificate of Compliance: (Cont'd.)  
b) Dwellings Converting to Electric or Gas Space Heat

NEW YORK STATE ELECTRIC & GAS CORPORATION  
Certificate of Compliance  
Dwelling Converting to Gas Or Electric Space Heat

DESCRIPTION OF STRUCTURE (Check as applicable)

PRIMARY HEATING SOURCE

\_\_\_\_ 1 or 2 Family Residence  
\_\_\_\_ 3 or More Residences (\_\_\_\_ Units)

\_\_\_\_ Electricity  
\_\_\_\_ Natural Gas  
\_\_\_\_ Other

LOCATION:

Number

Street

Township

Post Office

State

Zip Code

OWNER'S NAME: \_\_\_\_\_ Acct. No. \_\_\_\_\_  
(Office use only)

1. I \_\_\_\_\_ am aware that the Minimum Insulation Standards  
(Owner)  
for Dwellings Converting to Gas or Electric Space Heat requires my house to have storm doors, storm windows and at least R-19 (usually six inches) roof insulation. I certify that my building at \_\_\_\_\_  
(Location)  
meets those requirements, or that I have obtained a waiver; and I understand that should my building be found not in compliance, a 25 percent surcharge on my utility bill may be imposed or electric or gas service may be discontinued.  
The undersigned attests that all statements and representations contained in this certificate are true and accurate.

\_\_\_\_\_  
Signature of Owner

\_\_\_\_\_  
Address

2. I have inspected the building at \_\_\_\_\_  
(Location)  
owned by \_\_\_\_\_ and certify that it meets the requirements of the Minimum  
(Owner)  
Insulations Standards for Dwellings Converting to Gas or Electric Space Heat.  
The undersigned attests that all statements and representations contained in this certificate are true and accurate.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Contractor or NYSEG Representative

Issued by: James A. Lahtinen, Vice President – Rates & Regulatory Economics, Binghamton, NY